



On the Tractability of Un/Satisfiability

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Abstract

This paper shows $\mathbf{P} = \mathbf{NP}$ via exactly-1 3SAT (X3SAT). Let $\phi = \bigwedge C_k$ be some X3SAT formula. $C_k = (r_i \odot r_j \odot r_u)$ is a clause denoting an exactly-1 disjunction \odot of literals $r_i, r_i \in \{x_i, \bar{x}_i\}$. C_k is satisfied iff $(r_i \wedge \bar{r}_j \wedge \bar{r}_u) \vee (\bar{r}_i \wedge r_j \wedge \bar{r}_u) \vee (\bar{r}_i \wedge \bar{r}_j \wedge r_u)$ is satisfied, because any C_k contains *exactly one* true literal by the definition of X3SAT. Let $\phi(r_j) := r_j \wedge \phi$. Then, r_j leads to reductions due to \odot of some $C_k = (\bar{x}_i \odot r_j \odot x_u)$ into $c_k = x_i \wedge r_j \wedge \bar{x}_u$, and some $C_k = (\bar{r}_j \odot r_u \odot r_v)$ into $C_{k'} = (r_u \odot r_v)$. As a result, r_j transforms ϕ into $\phi(r_j) = \psi(r_j) \wedge \phi'(r_j)$, unless $\not\models \psi(r_j)$, that is, unless $\psi(r_j)$ involves a contradiction $x_i \wedge \bar{x}_i$. Also, $\psi(r_j)$ and $\phi'(r_j)$ become *disjoint*, where $\psi(r_j) = \bigwedge (c_k \wedge C_{k'})$ for $|C_{k'}| = 1$, and $\phi'(r_j) = \bigwedge (C_k \wedge C_{k'})$. It is trivial to verify $\not\models \psi(r_j)$ and *redundant* to verify $\not\models \phi'(r_j)$, thus *easy* to verify $\not\models \phi(r_j)$. A proof is sketched as follows. ϕ transforms into $\psi \wedge \phi'$ such that whenever $\not\models \psi(r_j)$, \bar{r}_j is placed in ψ , and leads to reductions of some C_k in ϕ' . If ψ involves $x_j \wedge \bar{x}_j$, then ϕ is unsatisfiable. Otherwise, ϕ is satisfiable, because ϕ is composed of $\psi, \psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \dots, \psi(r_{i_n}|r_{i_m})$, and all $\psi(\cdot)$ are *disjoint* and *satisfied*. Note that $r_i \models \psi(r_i)$ and $\psi(r_i) \models \psi(r_i|\cdot)$ for any r_i in ϕ' . Thus, $\phi'(r_i)$ is *satisfiable*, because $\phi \equiv \psi(r_i) \wedge \phi'(r_i)$, where $\psi(r_i)$ and $\phi'(r_i)$ are *disjoint*. Therefore, it is *redundant* to check if $\not\models \phi'(r_i)$ to verify $\not\models \phi(r_i)$, QED. The time complexity is $O(mn^3)$. Therefore, $\mathbf{P} = \mathbf{NP}$.

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1 Introduction: Effectiveness of X3SAT in proving $\mathbf{P} = \mathbf{NP}$

As is well known, $\mathbf{P} = \mathbf{NP}$, if there exists an efficient algorithm for any *one* of \mathbf{NP} -complete problems. That is, their algorithmic efficiency is *equivalent*. Nevertheless, some \mathbf{NP} -complete problem features algorithmic effectiveness, if it incorporates an *effective* tool to develop an efficient algorithm. That is, a particular problem can be more effective to prove $\mathbf{P} = \mathbf{NP}$. This issue might also be related to “complexity reductions” (Lipton and Regan [1]). They state these reductions are needed to understand what the $\mathbf{P} = \mathbf{NP}$ problem is really about.

The paper shows that one-in-three SAT, which is \mathbf{NP} -complete [3], features algorithmic effectiveness to prove $\mathbf{P} = \mathbf{NP}$. This problem is also known as exactly-1 3SAT (X3SAT). It incorporates “exactly-1 disjunction”, denoted by \odot , the tool used to develop an efficient (or a polynomial time) algorithm, which “scans” an X3SAT formula ϕ , thus is called the ϕ scan.

If $\not\models \phi(r_j)$, that is, $\phi(r_j)$ is unsatisfiable, then r_j is incompatible, where $\phi(r_j) := r_j \wedge \phi$ and $r_j \in \{x_j, \bar{x}_j\}$. The ϕ scan removes each incompatible r_j from ϕ , thus verifies compatibility of any r_i for satisfying ϕ . When each r_j incompatible is removed, ϕ is unsatisfiable, or satisfiable. If ϕ is satisfiable, then any r_i becomes compatible to participate in a satisfying assignment.

Let $\phi = C_1 \wedge C_2 \wedge \dots \wedge C_m$ be an X3SAT formula, in which a clause $C_k = (r_i \odot r_j \odot r_u)$ is an exactly-1 disjunction of literals. C_k is satisfied by definition iff *exactly one* of r_i, r_j , or r_u is true. Note that $(r_i \vee r_j \vee r_u)$ in a 3SAT formula is satisfied iff at least one of them is true.



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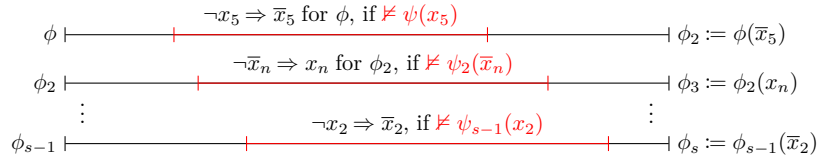
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Incompatibility of r_i is checked by a *deterministic* chain of *reductions* of some C_k in $\phi(r_i)$. Consider $\phi(x_j) := x_j \wedge \phi$. Then, the reductions are initiated by x_j , and followed by $\neg \bar{x}_j$, since $x_j \Rightarrow \neg \bar{x}_j$. That is, each $(x_j \odot \bar{x}_i \odot x_u)$ *collapses* to $(x_j \wedge x_i \wedge \bar{x}_u)$ due to $x_j \Rightarrow x_j \wedge \neg \bar{x}_i \wedge \neg x_u$, since there is exactly one (negated) variable that is true in any C_k by the definition of X3SAT. Also, each $(\bar{x}_j \odot \bar{x}_u \odot x_v)$ *shrinks* to $(\bar{x}_u \odot x_v)$ due to $\neg \bar{x}_j$. As a result, x_j transforms ϕ into $\phi(x_j) = x_j \wedge x_i \wedge \bar{x}_u \wedge \phi^*$, and $x_i \wedge \bar{x}_u$ proceeds the reductions in ϕ^* , which involves $(\bar{x}_u \odot x_v)$.

The reductions over $\phi_s(x_j)$ terminate iff x_j transforms ϕ_s into $\psi_s(x_j) \wedge \phi'_s(x_j)$, in which $\psi_s(x_j)$ and $\phi'_s(x_j)$ are disjoint, where s denotes the current scan, and $\psi_s(x_j)$ is a conjunction of (negated) variables that are true. They are interrupted iff $\psi_s(x_j)$ involves $x_i \wedge \bar{x}_i$, hence $\not\models \phi_s(x_j)$, thus x_j is incompatible. Note that $\not\models \phi_s(\cdot)$ is verified *only* by $\not\models \psi_s(\cdot)$ (see Figure 1).

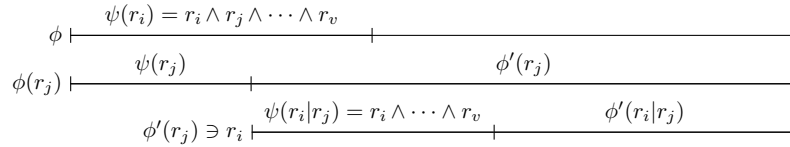
The reductions over ϕ terminate iff ϕ transforms into $\psi \wedge \phi'$, in which ψ and ϕ' are disjoint, where $\psi = \bar{x}_5 \wedge x_n \wedge \dots \wedge \bar{x}_2$ (Figure 1). Then, ϕ is updated, that is, $\phi \leftarrow \phi'$. The ϕ_s scan is interrupted iff ψ_s involves $x_i \wedge \bar{x}_i$ for some s and i , thus $\not\models \phi$, that is, ϕ is unsatisfiable.



■ **Figure 1** The ϕ_s scan: $\not\models \phi_s(r_j)$ is verified *solely* by $\not\models \psi_s(r_j)$ — whether or not $\not\models \phi'_s(r_j)$ is ignored

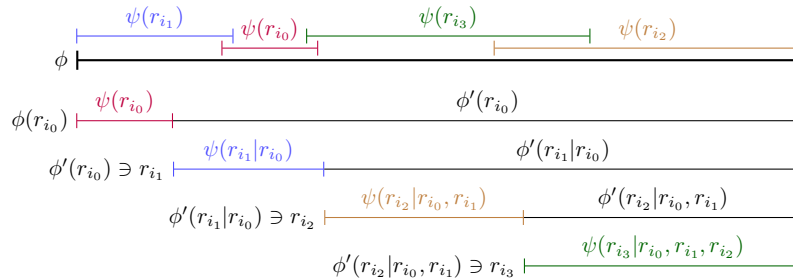
▷ **Claim 1.** $\not\models \phi(r_j)$ iff $\not\models \psi_s(r_j)$ for some s . That is, it is *redundant* to check whether or not $\not\models \phi'_s(r_j)$. Thus, $\phi(r_i)$ reduces to $\psi(r_i)$ due to $\phi(r_i) = \psi(r_i) \wedge \phi'(r_i)$. Then, $\psi(r_i) \equiv \phi(r_i)$. Therefore, ϕ is satisfiable iff $\psi(r_i)$ is *satisfied* for any r_i , that is, iff the ϕ_s scan *terminates*.

Sketch of proof. $\psi(r_i)/\psi(r_i|r_j)$ is constructed over $\phi/\phi'(r_j)$, thus $\psi(r_i)$ *covers* $\psi(r_i|r_j)$, hence $\psi(r_i) \models \psi(r_i|r_j)$ holds. Because $\psi(r_j)$ and $\phi'(r_j)$ are disjoint, $\psi(r_j)$ and $\psi(r_i|r_j)$ are disjoint (see Figure 2). Therefore, $\psi(r_{i_0})$, $\psi(r_{i_1}|r_{i_0})$, $\psi(r_{i_2}|r_{i_0}, r_{i_1})$, and $\psi(r_{i_3}|r_{i_0}, r_{i_1}, r_{i_2})$ form *disjoint* minterms $\psi(\cdot) = \bigwedge r_i$ over ϕ such that $\psi(r_{i_0})$, $\psi(r_{i_1}|r_{i_0})$, $\psi(r_{i_2}|r_{i_0}, r_{i_1})$, and $\psi(r_{i_3}|r_{i_0}, r_{i_1}, r_{i_2})$ hold, since $\psi(r_i)$ is true for any r_i (the ϕ_s scan terminates), and $\psi(r_i) \models \psi(r_i|\cdot)$ holds. Thus, ϕ is composed of $\psi(\cdot)$ that are *disjoint* and *satisfied* (see Figure 3), hence ϕ is satisfied. ◁



■ **Figure 2** $\psi(r_i) \models \psi(r_i|r_j)$, and $\psi(r_j)$ and $\psi(r_i|r_j)$ are disjoint, thus $\psi(r_j) \wedge \psi(r_i|r_j)$ is true

A satisfying assignment α is constructed by composing $\psi(\cdot)$ that are *disjoint* and *satisfied*. For example, $\alpha = \{\psi, \psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \psi(r_{i_2}|r_{i_0}, r_{i_1}), \psi(r_{i_3}|r_{i_0}, r_{i_1}, r_{i_2})\}$ (see Figure 3).



■ **Figure 3** $\psi(r_{i_1}) \models \psi(r_{i_1}|r_{i_0})$, $\psi(r_{i_2}) \models \psi(r_{i_2}|r_{i_0}, r_{i_1})$, and $\psi(r_{i_3}) \models \psi(r_{i_3}|r_{i_0}, r_{i_1}, r_{i_2})$

2 Basic Definitions

This section gives basic definitions, which are based on exactly-1 disjunction, denoted by \odot .

► **Definition 2.** A literal r_i is a variable x_i assigned true, or a negated variable \bar{x}_i assigned true. That is, $r_i \in \{x_i, \bar{x}_i\}$, in which $x_i = \mathbf{T}$ and $\bar{x}_i = \mathbf{T}$.

► **Definition 3.** A clause $C_k = (r_i \odot r_j \odot r_u)$ denotes an exactly-1 disjunction of literals.

► **Definition 4.** $c_k = \bigwedge r_i$ denotes a minterm, a conjunction of r_i , where r_i is called a conjunct.

► **Definition 5.** $\varphi = \psi \wedge \phi$ denotes an X3SAT formula such that $\psi = \bigwedge c_k$ and $\phi = \bigwedge C_k$.

Where appropriate, C_k , as well as ψ , is denoted by a set. Thus, $\varphi = \psi \wedge \phi$ the formula, that is, $\varphi = \psi \wedge C_1 \wedge C_2 \wedge \dots \wedge C_m$, is denoted by $\varphi = \{\psi, C_1, C_2, \dots, C_m\}$ the family of sets.

► **Definition 6.** $C_k = (r_i \odot r_j \odot r_u)$ is satisfied iff $(r_i \wedge \bar{r}_j \wedge \bar{r}_u) \vee (\bar{r}_i \wedge r_j \wedge \bar{r}_u) \vee (\bar{r}_i \wedge \bar{r}_j \wedge r_u)$ is satisfied, since any clause C_k contains exactly one true literal by the definition of X3SAT.

► **Definition 7 (Incompatibility).** r_i in some C_k is incompatible, denoted by $\neg r_i$, iff r_i leads to a contradiction $x_j \wedge \bar{x}_j$, that is, $r_i \wedge \varphi$ is unsatisfiable, hence r_i is removed from every C_k in ϕ .

► Remark. Each x_i and \bar{x}_i in ϕ is assumed to be compatible, thus no C_k contains $\neg x_i$, or $\neg \bar{x}_i$, while any r_i in ψ is necessarily true by Definition 4/5, thus denotes a conjunct, to satisfy φ .

► Note 8. If $r_i \in \psi$, then $r_i \Rightarrow \neg \bar{r}_i$, that is, \bar{r}_i becomes incompatible, and is removed from ϕ . If $r_i \Rightarrow x_j \wedge \bar{x}_j$, hence $\neg x_j \vee \neg \bar{x}_j \Rightarrow \neg r_i$, then $\neg r_i \Rightarrow \bar{r}_i$, that is, \bar{r}_i becomes a conjunct ($\bar{r}_i \in \psi$).

► **Definition 9.** $\mathfrak{L} = \{1, 2, \dots, n\}$ denotes the index set of the literals r_i , $\mathfrak{C} = \{1, 2, \dots, m\}$ denotes the index set of the clauses C_k , and $\mathfrak{C}^{r_i} = \{k \in \mathfrak{C} \mid r_i \in C_k\}$ denotes C_k containing r_i .

► **Example 10.** $\varphi = \bar{x}_4 \wedge (x_1 \odot \bar{x}_2 \odot x_3) \wedge (\bar{x}_3 \odot \bar{x}_4)$, in which \bar{x}_4 is necessary for satisfying φ , thus $\psi = \{\bar{x}_4\}$, $\mathfrak{C}^{\bar{x}_4} = \{2\}$, and $C_1 = \{x_1, \bar{x}_2, x_3\}$ denotes either $x_1 = \mathbf{T}$ or $\bar{x}_2 = \mathbf{T}$ or $x_3 = \mathbf{T}$.

► **Definition 11 (Collapse).** A clause $C_k = (r_i \odot x_j \odot \bar{x}_u)$ is said to collapse to the minterm $c_k = (r_i \wedge \bar{x}_j \wedge x_u)$, thus $r_i \notin C_k$, if r_i is necessary, denoted by $(r_i \odot x_j \odot \bar{x}_u) \searrow (r_i \wedge \bar{x}_j \wedge x_u)$.

► **Definition 12 (Shrinkage).** A clause $C_k = (r_i \odot r_j \odot r_u)$ is said to shrink to another clause $C_{k'} = (r_j \odot r_u)$, if $\neg r_i$ the incompatible is removed, denoted by $(r_i \odot r_j \odot r_u) \rightarrow (r_j \odot r_u)$.

► **Definition 13 (Compatibility of $r_i \in \{x_i, \bar{x}_i\}$ over ϕ).** $\phi(r_i) = r_i \wedge \phi$ for any $r_i \in C_k$ in ϕ .

► Note 14 (Reduction). The collapse or shrinkage denotes a reduction. If $r_i \in \psi$, then r_i leads to reductions over ϕ , thus $\varphi \rightarrow \varphi'$. That is, $\varphi \rightarrow \varphi'$ iff $C_k \searrow c_k$ or $C_k \rightarrow C_{k'}$ for C_k in ϕ . Since r_i is necessary for $\phi(r_i)$, it leads to reductions over $\phi(r_i)$. Then, $(\bar{r}_i \odot r_v \odot r_y) \rightarrow (r_v \odot r_y)$ and $(r_i \odot x_j \odot \bar{x}_u) \searrow (r_i \wedge \bar{x}_j \wedge x_u)$, because $r_i \Rightarrow \neg \bar{r}_i$ such that $r_i \Rightarrow r_i \wedge \bar{x}_j \wedge x_u$ holds over some $C_k = (r_i \odot x_j \odot \bar{x}_u)$, since $r_i \Rightarrow \neg x_j \wedge \neg \bar{x}_u$, thus $\neg x_j \Rightarrow \bar{x}_j$ and $\neg \bar{x}_u \Rightarrow x_u$ (see Definition 6/7).

► **Definition 15.** ϕ denotes a general formula if $\{x_i, \bar{x}_i\} \not\subseteq C_k$ for any $i \in \mathfrak{L}$ and $k \in \mathfrak{C}$, hence $\mathfrak{C}^{x_i} \cap \mathfrak{C}^{\bar{x}_i} = \emptyset$. ϕ denotes a special formula if $\{x_i, \bar{x}_i\} \subseteq C_k$ for some k , hence $\mathfrak{C}^{x_i} \cap \mathfrak{C}^{\bar{x}_i} = \{k\}$.

► **Lemma 16 (Conversion of a special formula).** Each clause $C_k = (r_j \odot x_i \odot \bar{x}_i)$ is replaced by the conjunct \bar{r}_j so that $\mathfrak{C}^{x_i} \cap \mathfrak{C}^{\bar{x}_i} = \emptyset$ for any $i \in \mathfrak{L}$, if $\phi = \bigwedge C_k$ is a special formula.

Proof. ϕ is unsatisfiable due to $r_j \Rightarrow \bar{x}_i \wedge x_i$. Then, $x_i \vee \bar{x}_i \Rightarrow \bar{r}_j$. That is, \bar{r}_j is necessary for satisfying $C_k = (r_j \odot x_i \odot \bar{x}_i)$, which is sufficient also, thus \bar{r}_j is equivalent to C_k . Therefore, each clause $C_k = (r_j \odot x_i \odot \bar{x}_i)$ is replaced by the conjunct \bar{r}_j so that $\mathfrak{C}^{x_i} \cap \mathfrak{C}^{\bar{x}_i} = \emptyset$. ◀

► **Example 17.** $\varphi = (x_2 \odot \bar{x}_1) \wedge (x_1 \odot \bar{x}_3 \odot x_4) \wedge (x_1 \odot \bar{x}_2 \odot x_2)$ is a special formula due to $C_3 = \{x_1, \bar{x}_2, x_2\}$. Note that $\mathfrak{C}^{\bar{x}_2} \cap \mathfrak{C}^{x_2} = \{3\}$. Then, φ is converted by replacing the clause C_3 with the conjunct \bar{x}_1 . As a result, $\varphi \leftarrow \bar{x}_1 \wedge (x_2 \odot \bar{x}_1) \wedge (x_1 \odot \bar{x}_3 \odot x_4)$. Likewise, if $\varphi = (x_3 \odot \bar{x}_4 \odot x_4) \wedge (\bar{x}_3 \odot x_2 \odot \bar{x}_2) \wedge (x_2 \odot \bar{x}_1)$, then $\varphi \leftarrow \bar{x}_3 \wedge x_3 \wedge (x_2 \odot \bar{x}_1)$, which is unsatisfiable.

3 The φ Scan

The φ scan asserts that φ is satisfiable iff x_i or \bar{x}_i is compatible (Definition 13) for all $i \in \mathcal{L}$. Hence, we need to show that $\phi(x_1)$ or $\phi(\bar{x}_1)$, and $\phi(x_2)$ or $\phi(\bar{x}_2)$, and \dots and $\phi(x_n)$ or $\phi(\bar{x}_n)$ are satisfied. If φ is satisfiable, then a satisfying assignment is determined (see Section 3.4).

$\not\models \varphi$ denotes φ is unsatisfiable, and $\models_\alpha \varphi$ denotes that $\alpha = \{r_1, r_2, \dots, r_n\}$ is a satisfying assignment for φ . $\psi \models \psi'$ denotes that ψ entails ψ' , and $\psi \vdash \psi'$ denotes that ψ proves ψ' .

φ_s for $s \geq 2$ denotes the *current* formula at the s^{th} scan/step such that $\varphi := \varphi_1$, after $\neg r_j$ holds in ϕ_{s-1} (see Definition 7). Then, $\phi_s^{r_i} = (r_{ik_1} \odot r_{u_1k_1} \odot r_{u_2k_1}) \wedge \dots \wedge (r_{ik_r} \odot r_{v_1k_r} \odot r_{v_2k_r})$ denotes the formula over clauses $C_k \ni r_i$ in ϕ_s , where $r_i \in \{x_i, \bar{x}_i\}$. Hence, $\mathfrak{C}_s^{r_i} = \{k_1, \dots, k_r\}$.

$\tilde{\psi}_s(r_i)$ is called the *local effect* of r_i , and $\tilde{\phi}_s(\neg r_i)$ is the effect of $\neg r_i$. $\tilde{\varphi}_s(r_i)$ denotes its *overall effect* such that $\tilde{\varphi}_s(r_i) = \tilde{\psi}_s(r_i) \wedge \tilde{\phi}_s(\neg \bar{r}_i)$, specified below. Also, $\tilde{\psi}_s(r_i) = \bigwedge (c_k \wedge C_k)$ such that $|C_k| = 1$. Moreover, $\tilde{\phi}_s(\neg r_i) = \bigwedge C_k$ such that $|C_k| > 1$, or $\tilde{\phi}_s(\neg r_i)$ is empty.

3.1 Introduction: Incompatibility and Reductions

Example 18 (19) introduces incompatibility (reductions over ϕ), which drive the φ scan.

► **Example 18.** Consider $\phi(x_1)$ over $\varphi = \phi = (x_1 \odot \bar{x}_3) \wedge (x_1 \odot \bar{x}_2 \odot x_3) \wedge (x_2 \odot \bar{x}_3)$. Thus, x_1 is necessary for $\phi(x_1)$, hence $x_1 \models \tilde{\psi}(x_1)$ such that $\tilde{\psi}(x_1) = (x_1 \wedge x_3) \wedge (x_1 \wedge x_2 \wedge \bar{x}_3)$. That is, $x_1 \Rightarrow \neg \bar{x}_3$ holds over $C_1 = (x_1 \odot \bar{x}_3)$, hence $\neg \bar{x}_3 \Rightarrow x_3$. Likewise, $x_1 \Rightarrow \neg \bar{x}_2 \wedge \neg x_3$ holds over $(x_1 \odot \bar{x}_2 \odot x_3)$, hence $\neg \bar{x}_2 \Rightarrow x_2$ and $\neg x_3 \Rightarrow \bar{x}_3$ (see Note 14). Thus, $\tilde{\varphi}(x_1) = \tilde{\psi}(x_1) \wedge \tilde{\phi}(\neg \bar{x}_1)$ becomes the overall effect, where $\tilde{\phi}(\neg \bar{x}_1)$ is empty. Then, the reductions initiated by x_1 over $\phi(x_1)$ are to proceed due to x_2 . Nevertheless, they are interrupted by $x_3 \wedge \bar{x}_3$ due to $\tilde{\psi}(x_1)$. Hence, $\phi(x_1) = \tilde{\varphi}(x_1) \wedge (x_2 \odot \bar{x}_3)$ is unsatisfiable, thus x_1 is *incompatible* for φ , i.e., $\neg x_1 \Rightarrow \bar{x}_1$.

► **Example 19.** \bar{x}_1 initiates *reductions* over ϕ (Note 14). Then, $\tilde{\psi}(\bar{x}_1) = \bar{x}_1 \wedge \bar{x}_3$, $\tilde{\phi}(\neg x_1) = (\bar{x}_2 \odot x_3)$, and $\tilde{\varphi}(\bar{x}_1) = \tilde{\psi}(\bar{x}_1) \wedge \tilde{\phi}(\neg x_1)$ to define $\varphi_2 = \tilde{\varphi}(\bar{x}_1) \wedge (x_2 \odot \bar{x}_3)$. Note that $(x_2 \odot \bar{x}_3)$ is beyond $\tilde{\varphi}(\bar{x}_1)$ the overall effect. Note also that $\{\bar{x}_3\} \notin \tilde{\phi}(\neg x_1)$, while $\bar{x}_3 \in \tilde{\psi}(\bar{x}_1)$, because $C_1 \mapsto c_1$, since $\tilde{\phi}(\neg x_1)$ contains no singleton. Then, φ_2 is the current formula due to the first reduction by \bar{x}_1 over ϕ . Thus, $\varphi \rightarrow \varphi_2$ due to $(x_1 \odot \bar{x}_3) \mapsto (\bar{x}_3)$ and $(x_1 \odot \bar{x}_2 \odot x_3) \mapsto (\bar{x}_2 \odot x_3)$. As a result, $\varphi_2 = \bar{x}_1 \wedge \bar{x}_3 \wedge (\bar{x}_2 \odot x_3) \wedge (x_2 \odot \bar{x}_3)$, in which $\psi_2 = \{\bar{x}_1, \bar{x}_3\}$ denotes the conjuncts, and $C_1 = \{\bar{x}_2, x_3\}$ and $C_2 = \{x_2, \bar{x}_3\}$ denote the clauses. Note that $\mathfrak{C}_2^{x_3} = \{1\}$ and $\mathfrak{C}_2^{\bar{x}_3} = \{2\}$. Likewise, \bar{x}_3 leads to the next reduction over ϕ_2 : $\tilde{\psi}_2(\bar{x}_3) = (\bar{x}_2 \wedge \bar{x}_3)$, $\tilde{\phi}_2(\neg x_3)$ is empty, and $\tilde{\varphi}_2(\bar{x}_3) = \tilde{\psi}_2(\bar{x}_3) \wedge \tilde{\phi}_2(\neg x_3)$. Thus, $\varphi_2 \rightarrow \varphi_3$ due to $(x_2 \odot \bar{x}_3) \searrow (\bar{x}_2 \wedge \bar{x}_3)$ and $(\bar{x}_2 \odot x_3) \mapsto (\bar{x}_2)$. Then, $\varphi_3 = \tilde{\varphi}(\bar{x}_1) \wedge \tilde{\varphi}_2(\bar{x}_3) = \bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3$, which denotes the cumulative effects of \bar{x}_1 and \bar{x}_3 .

3.2 The Core Algorithms: Scope and Scan

Let $\phi_s^{r_j} = (r_{jk_1} \odot r_{i_1k_1} \odot r_{i_2k_1}) \wedge \dots \wedge (r_{jk_r} \odot r_{u_1k_r} \odot r_{u_2k_r})$ for Lemma 20 and 21 below.

► **Lemma 20.** $r_j \models \tilde{\psi}_s(r_j)$ such that $\tilde{\psi}_s(r_j) = r_j \wedge \bar{r}_{i_1} \wedge \bar{r}_{i_2} \wedge \dots \wedge \bar{r}_{u_1} \wedge \bar{r}_{u_2}$, unless $\not\models \tilde{\psi}_s(r_j)$.

Proof. Follows from Definition 11. That is, $r_j \Rightarrow (r_j \wedge \bar{r}_{i_1} \wedge \bar{r}_{i_2}) \wedge \dots \wedge (r_j \wedge \bar{r}_{u_1} \wedge \bar{r}_{u_2})$. Hence, $r_j \Rightarrow r_j \wedge \bar{r}_{i_1} \wedge \bar{r}_{i_2} \wedge \dots \wedge \bar{r}_{u_1} \wedge \bar{r}_{u_2}$. ◀

► **Lemma 21.** If $\neg r_j$, then $\tilde{\phi}_s(\neg r_j)$ holds such that $\tilde{\phi}_s(\neg r_j) = (r_{i_1} \odot r_{i_2}) \wedge \dots \wedge (r_{u_1} \odot r_{u_2})$.

Proof. Follows from Definition 12. $\tilde{\phi}_s(\neg r_j) = \{\{\}\}$, or $|C_k| > 1$ for any C_k in $\tilde{\phi}_s(\neg r_j)$. ◀

► **Lemma 22** (Overall effect of r_j over ϕ_s). $\tilde{\varphi}_s(r_j) = \tilde{\psi}_s(r_j) \wedge \tilde{\phi}_s(\neg \bar{r}_j)$.

Proof. Follows from Lemma 20, and from 21 via $\phi_s^{\bar{r}_j}$, since $r_j \Rightarrow \neg \bar{r}_j$, thus $r_j \models r_j \wedge \neg \bar{r}_j$. ◀

The algorithm `OvrLEft` (r_j, ϕ_*) below constructs the overall effect $\tilde{\varphi}_*(r_j)$ by means of the local effect $\tilde{\psi}_*(r_j)$ (see Lines 1-6, or L:1-6), as well as of the local effect $\tilde{\phi}_*(-\bar{r}_j)$ (L:7-10).

Algorithm 1 `OvrLEft` (r_j, ϕ_*) \triangleright Construction of the overall effect $\tilde{\varphi}_*(r_j)$ due to Lemma 22

```

1: for all  $k \in \mathfrak{C}_*^{r_j}$  over  $\phi_*$  do  $\triangleright$  Construction of the local effect  $\tilde{\psi}_*(r_j)$  due to  $r_j$  (Lemma 20)
2:   for all  $r_i \in (C_k - \{r_j\})$  do  $\triangleright \tilde{\psi}_*(r_j)$  gets  $r_j$  via  $r_e$  (see Scope L:4), or via  $\bar{r}_j$  (Remove L:2)
3:      $c_k \leftarrow c_k \cup \{\bar{r}_i\}; \triangleright (r_{jk} \odot r_{i_1k} \odot r_{i_2k}) \searrow (\bar{r}_{i_1k} \wedge \bar{r}_{i_2k})$ . That is,  $C_k \searrow c_k$  (see Definition 4/11)
4:   end for
5:    $\tilde{\psi}_*(r_j) \leftarrow \tilde{\psi}_*(r_j) \cup c_k; \triangleright c_k$  consists in  $\psi_s(r_j)$  (see Scope L:4), or in  $\psi_s$  (see Remove L:2)
6: end for  $\triangleright$  L:1-6 are independent from L:7-10, since  $\mathfrak{C}_*^{r_j} \cap \mathfrak{C}_*^{\bar{r}_j} = \emptyset$ , i.e.,  $\mathfrak{C}_*^{r_j} \cap \mathfrak{C}_*^{\bar{r}_j} = \emptyset$  (Lemma 16)
7: for all  $k \in \mathfrak{C}_*^{\bar{r}_j}$  over  $\phi_*$  do  $\triangleright$  Construction of the local effect  $\tilde{\phi}_*(-\bar{r}_j)$  due to  $-\bar{r}_j$  (Lemma 21)
8:    $C_k \leftarrow C_k - \{\bar{r}_j\}; \triangleright (\bar{r}_{jk} \odot r_{u_1k} \odot r_{u_2k}) \mapsto (r_{u_1k} \odot r_{u_2k})$  or  $(\bar{r}_{jk} \odot r_{uk}) \mapsto (r_{uk})$  (Definition 12)
9:   if  $|C_k| = 1$  then  $\tilde{\psi}_*(r_j) \leftarrow \tilde{\psi}_*(r_j) \cup C_k; C_k \leftarrow \emptyset; \triangleright \tilde{\phi}_*(-\bar{r}_j)$  contains no singleton,  $C_k \mapsto c_k$ 
10: end for  $\triangleright 3 \setminus 2$ -literal  $C_k$  in  $\phi_*^{\bar{r}_j}$  shrinks due to  $-\bar{r}_j$  to 2-literal  $C_k$  in  $\phi_*^{\bar{r}_j} \setminus$  to conjunct  $r_u$  in  $\tilde{\psi}_*(r_j)$ 
11: return  $\tilde{\psi}_*(r_j) \ \& \ \tilde{\phi}_*(-\bar{r}_j) \leftarrow \phi_*^{\bar{r}_j}; \triangleright \tilde{\psi}_*(r_j) = \bigwedge (c_k \wedge C_k), |C_k| = 1 \ \& \ \tilde{\phi}_*(-\bar{r}_j) = \bigwedge C_k, |C_k| > 1$ 

```

$\psi_s(r_j)$ is called the scope of r_j , and $\phi'_s(r_j)$ is called beyond the scope, defined over ϕ_s .

► **Lemma 23** (Scope of r_j). r_j transforms ϕ_s into $\phi_s(r_j) = \psi_s(r_j) \wedge \phi'_s(r_j)$, unless $\not\models \psi_s(r_j)$, where $\psi_s(r_j) = r_j \wedge r_i \wedge \dots \wedge r_u$ and $\phi'_s(r_j) = \bigwedge C_k$. Thus, $r_j \models \psi_s(r_j)$, hence $r_j \vdash \psi_s(r_j)$.

Proof. $\phi_s(r_j) = r_j \wedge \phi_s$ by Definition 13. Then, r_j initiates a *deterministic* chain of reductions (see Note 14). As a result, $r_j \Rightarrow r_j \wedge x_i \wedge \bar{x}_u$ holds over each $C_k = (r_j \odot \bar{x}_i \odot x_u)$ containing r_j , and $-\bar{r}_j \Rightarrow (\bar{x}_u \odot x_v)$ holds over each $C_k = (\bar{r}_j \odot \bar{x}_u \odot x_v)$ containing \bar{r}_j . These reductions thus proceed, as long as new conjuncts r_e emerge in $\phi_s(r_j)$ (see Scope L:2-4). If the reductions are interrupted, then r_j is incompatible (L:5). If they terminate, then $\psi_s(r_j)$ and $\phi'_s(r_j)$ are constructed (L:9). Thus, $r_j \models \psi_s(r_j)$. It is obvious that if $r_j \models \psi_s(r_j)$, then $r_j \vdash \psi_s(r_j)$. ◀

Algorithm 2 `Scope` (r_j, ϕ_s) \triangleright Construction of $\psi_s(r_j)$ and $\phi'_s(r_j)$ due to r_j over ϕ_s ; $\varphi_s = \psi_s \wedge \phi_s$

```

1:  $\psi_s(r_j) \leftarrow \{r_j\}; \phi_* \leftarrow \phi_s; \triangleright \phi_s(r_j) := r_j \wedge \phi_s$ .  $\psi_s$  and  $\phi_s$  are disjoint due to Scan L:1-3
2: for all  $r_e \in (\psi_s(r_j) - R)$  do  $\triangleright$  Reductions of  $C_k$  initiated by  $r_j$  over  $\phi_s$  start off
3:   OvrLEft ( $r_e, \phi_*$ );  $\triangleright$  It returns  $\tilde{\psi}_*(r_e)$  for L:4 &  $\tilde{\phi}_*(-\bar{r}_e)$  for L:6
4:    $\psi_s(r_j) \leftarrow \psi_s(r_j) \cup \{r_e\} \cup \tilde{\psi}_*(r_e); \triangleright \tilde{\psi}_*(r_e)$  due to OvrLEft L:5,9 consists in the scope  $\psi_s(r_j)$ 
5:   if  $\psi_s(r_j) \supseteq \{x_i, \bar{x}_i\}$  then return NULL;  $\triangleright r_j \Rightarrow x_i \wedge \bar{x}_i, i \in \mathfrak{L}^\phi$ .  $\not\models \psi_s(r_j)$ , thus  $\not\models \phi_s(r_j)$ 
6:    $\tilde{\phi}_*(-r) \leftarrow \tilde{\phi}_*(-r) \cup \tilde{\phi}_*(-\bar{r}_e); \triangleright \tilde{\phi}_*(-r) = \{\{\}\}$  or  $\tilde{\phi}_*(-r) = \bigcup C_k, |C_k| > 1$  (OvrLEft L:8-11)
7:    $\phi_* \leftarrow \tilde{\phi}_*(-r) \wedge \phi'_s; R \leftarrow R \cup \{r_e\}; \triangleright \tilde{\phi}_*(-r)$  and  $\phi'_s$  consist in beyond the scope  $\phi'_s(r_j)$ 
    $\triangleright \phi'_s = \bigwedge C_k$  for  $k \in \mathfrak{C}'_*$ , where  $\mathfrak{C}'_* = \mathfrak{C}_* - (\mathfrak{C}_*^{x_e} \cup \mathfrak{C}_*^{\bar{x}_e})$ , and  $\mathfrak{C}_*^{x_e} \cap \mathfrak{C}_*^{\bar{x}_e} = \emptyset$  due to Lemma 16
8: end for  $\triangleright$  The reductions terminate if  $\psi_s(r_j) = R$ , which denotes conjuncts already reduced  $C_k$ 
9: return  $\psi_s(r_j) \ \& \ \phi'_s(r_j) \leftarrow \phi_*$ ;  $\triangleright \phi_s(r_j) = \psi_s(r_j) \wedge \phi'_s(r_j)$ .  $\psi_s(r_j) = \bigwedge r_j$  and  $\phi'_s(r_j) = \bigwedge C_k$ 

```

► **Note 24.** $\mathfrak{L}_s(r_j)$ being an index set of $\psi_s(r_j)$, $\mathfrak{L}_s(r_j) \cap \mathfrak{L}'_s(r_j) = \emptyset$ and $\mathfrak{L}_s(r_j) \cup \mathfrak{L}'_s(r_j) = \mathfrak{L}^\phi$, if `Scope` (r_j, ϕ_s) terminates. Thus, $\psi_s(r_j)$ and $\phi'_s(r_j)$ are disjoint, where $\phi'_s(r_j)$ can be empty.

► **Example 25.** Consider $\psi(x_1)$, `Scope` (x_1, ϕ), for $\phi = (x_1 \odot \bar{x}_3) \wedge (x_1 \odot \bar{x}_2 \odot x_3) \wedge (x_2 \odot \bar{x}_3)$. $\psi(x_1) \leftarrow \{x_1\}$ and $\phi_* \leftarrow \phi$ (L:1). Then, $\phi_*^{x_1}$ is empty, and $\phi_*^{x_1} = (x_1 \odot \bar{x}_3) \wedge (x_1 \odot \bar{x}_2 \odot x_3)$ due to `OvrLEft` (x_1, ϕ_*). Also, $\mathfrak{C}_*^{x_1} = \{1, 2\}$, thus $c_1 \leftarrow \{x_3\}$ and $\tilde{\psi}_*(x_1) \leftarrow \tilde{\psi}_*(x_1) \cup c_1$, as well as $c_2 \leftarrow \{x_2, \bar{x}_3\}$ and $\tilde{\psi}_*(x_1) \leftarrow \tilde{\psi}_*(x_1) \cup c_2$ (see `OvrLEft` L:1-6). Then, $\tilde{\psi}_*(x_1) = \{x_3, x_2, \bar{x}_3\}$ & $\tilde{\phi}_*(-\bar{x}_1) \leftarrow \phi_*^{\bar{x}_1}$ (`OvrLEft` L:11). As a result, $\psi(x_1) \leftarrow \psi(x_1) \cup \{x_1\} \cup \tilde{\psi}_*(x_1)$ (Scope L:4), and $\psi(x_1) \supseteq \{x_3, \bar{x}_3\}$ (L:5), that is, $x_1 \Rightarrow x_3 \wedge \bar{x}_3$, hence x_1 is incompatible in the *first* scan.

XX:6 On the Tractability of Un/Satisfiability

► **Definition 26.** $\mathcal{L}^\psi = \{i \in \mathcal{L} \mid r_i \in \psi_s\}$ and $\mathcal{L}^\phi = \{i \in \mathcal{L} \mid r_i \in C_k \text{ in } \phi_s\}$ due to $\varphi_s = \psi_s \wedge \phi_s$.

$\text{Scan}(\varphi_s)$ decomposes ϕ_s into $\psi_s(x_1), \psi_s(\bar{x}_1), \dots, \psi_s(x_n), \psi_s(\bar{x}_n)$, whenever $\mathcal{L}^\psi \cap \mathcal{L}^\phi = \emptyset$. If $\not\models \psi_{s-1}(r_i)$, then \bar{r}_i is placed in ψ_s , and leads to reductions of some C_k in ϕ_s . In Figure 4, $\not\models \psi_{s-2}(\bar{x}_1)$ and $\not\models \psi_{s-1}(x_3)$ hold, thus $\psi_s = x_1 \wedge \bar{x}_3$ and $\phi_s = (x_4 \odot \bar{x}_2 \odot x_n) \wedge \dots \wedge (x_2 \odot \bar{x}_n)$.

$$\varphi_s = \underbrace{x_1 \wedge \bar{x}_3}_{\psi_s} \wedge \underbrace{(x_4 \odot \bar{x}_2 \odot x_n)}_{C_1} \wedge \dots \wedge \underbrace{(\bar{x}_6 \odot x_8) \wedge (\bar{x}_6 \odot \bar{x}_9 \odot x_4) \wedge (x_7 \odot x_8)}_{\phi_s} \wedge \dots \wedge \underbrace{(x_2 \odot \bar{x}_n)}_{C_m}$$

$\psi_s(\bar{x}_6) = \bar{x}_6 \wedge \bar{x}_8 \wedge x_9 \wedge \bar{x}_4 \wedge x_7$

■ **Figure 4** $\text{Scan}(\varphi_s)$ decomposes ϕ_s into $\psi_s(x_1), \psi_s(\bar{x}_1), \dots, \psi_s(x_n), \psi_s(\bar{x}_n)$, unless $\psi_s(\cdot) \not\subseteq \{x_i, \bar{x}_i\}$

If $\bar{r}_i \in \psi_s$, then \bar{r}_i is necessary, thus r_i is incompatible *trivially* for each $C_k \ni r_i$ in ϕ_s (see Scan L:1-2). For example, if $x_1 \wedge (x_1 \odot x_2 \odot \bar{x}_3)$ holds, then \bar{x}_1 becomes incompatible trivially. Note that $1 \in \mathcal{L}^\phi$ and $x_1 \in \psi_s$, and that $\bar{x}_1 \Rightarrow \bar{x}_1 \wedge x_1$. If $r_i \Rightarrow x_j \wedge \bar{x}_j$, then r_i is incompatible *nontrivially* (L:6). See also Note 8/27. If $\text{Scan}(\varphi_s)$ is interrupted by Remove L:3 , then φ is unsatisfiable. If it terminates (L:9), then a satisfying assignment is determined (Section 3.4).

► **Note 27.** It is obvious that $\not\models \varphi_s(r_j)$ if $\not\models (\psi_s \wedge r_j)$ or $\not\models (r_j \wedge \phi_s)$ by Definition 5/13, because $\varphi_s(r_j) = \psi_s \wedge r_j \wedge \phi_s$, and $r_j \wedge \phi_s = \phi_s(r_j)$, and that $\not\models \varphi_s(r_j)$ iff $\neg r_j$ holds (see Definition 7).

Algorithm 3 $\text{Scan}(\varphi_s) \triangleright \varphi_s = \psi_s \wedge \phi_s, \psi_s = \bigwedge r_i$ and $\phi_s = \bigwedge C_k$. Checks if $\not\models \varphi_s(r_i)$ for all $i \in \mathcal{L}^\phi$

- 1: **for all** $i \in \mathcal{L}^\phi$ and $\bar{r}_i \in \psi_s$ **do** \triangleright Because $\bar{r}_i \in \psi_s, \not\models (\psi_s \wedge r_i)$, that is, $r_i \Rightarrow x_i \wedge \bar{x}_i$
 - 2: $\text{Remove}(r_i, \phi_s)$; $\triangleright \bar{r}_i$ is *necessary*, thus r_i is incompatible *trivially*, hence $\bar{r}_i \Rightarrow \neg r_i$
 - 3: **end for** \triangleright If $i \in \mathcal{L}^\psi, r_i$ has been already removed, hence $\bar{r}_i \in \psi_s$ and $\bar{r}_i \notin C_k \forall k \in \mathcal{C}_s$, i.e., $i \notin \mathcal{L}^\phi$
 - 4: **for all** $i \in \mathcal{L}^\phi$ **do** $\triangleright \mathcal{L}^\psi \cap \mathcal{L}^\phi = \emptyset$ due to L:1-3. Hence, $i \in \mathcal{L}^\psi$ iff $r_i = x_i$ is *fixed* or $r_i = \bar{x}_i$ is *fixed*
 - 5: **for all** $r_i \in \{x_i, \bar{x}_i\}$ **do** \triangleright Each and every x_i and \bar{x}_i *assumed* compatible is to be *verified*
 - 6: **if** $\text{Scope}(r_i, \phi_s)$ is NULL **then** $\text{Remove}(r_i, \phi_s)$; $\triangleright \not\models \phi_s(r_i)$, incompatible *nontrivially*
 - 7: **end for** \triangleright If $r_i \Rightarrow x_j \wedge \bar{x}_j$, hence $\neg x_j \vee \neg \bar{x}_j \Rightarrow \neg r_i$, then $\neg r_i \Rightarrow \bar{r}_i$, where $i \neq j$ due to L:1-3
 - 8: **end for** $\triangleright \neg r_i$ iff \bar{r}_i , since $\neg r_i \Rightarrow \bar{r}_i$ due to nontrivial, and $\neg r_i \Leftarrow \bar{r}_i$ due to trivial incompatibility
 - 9: **return** $\hat{\varphi} = \hat{\psi} \wedge \hat{\phi}$, and $\psi(r_i) \& \phi'(r_i)$ for all $i \in \mathcal{L}^\phi$; $\triangleright \hat{\psi} \Leftarrow \psi_s$ and $\hat{\phi} \Leftarrow \phi_s$. See also Note 29
-

► **Note 28.** \mathcal{L}^ψ and \mathcal{L}^ϕ form a partition of \mathcal{L} due to Definition 26 and Scan L:1-3 .

► **Note 29.** When Scan terminates, $\hat{\psi}$ and $\hat{\phi}$ become disjoint, and $\hat{\phi} \equiv \bigwedge_{i \in \mathcal{L}} (\psi(x_i) \oplus \psi(\bar{x}_i))$, where $\mathcal{L} \Leftarrow \mathcal{L}^\phi$. Also, $\hat{\psi} = \bigwedge r_i$ and $\hat{\phi} = \bigwedge C_k$ such that $|C_k| > 1$, because each $C_k = \{r_i\}$ in ϕ_s for any s transforms into r_i in $\hat{\psi}$. That is, $C_k = (r_i \odot r_j)$ or $C_k = (r_i \odot r_j \odot r_u)$ in $\hat{\phi}$.

$\text{Remove}(r_j, \phi_s)$ leads to reductions of any $C_k \ni \bar{r}_j$ due to \bar{r}_j , which consists in ψ_{s+1} (see L:1-2), as well as of any $C_k \ni r_j$ due to $\neg r_j$, which consists in ϕ_{s+1} (see L:1,5).

Algorithm 4 $\text{Remove}(r_j, \phi_s) \triangleright r_j$ is incompatible/removed iff \bar{r}_j is necessary, i.e., $\neg r_j$ iff \bar{r}_j

- 1: $\text{OvrLEft}(\bar{r}_j, \phi_s)$; $\triangleright \text{OvrLEft}$ is defined over $\phi_s = \bigwedge C_k, |C_k| > 1$, and returns $\tilde{\psi}_s(\bar{r}_j)$ & $\tilde{\phi}_s(\neg r_j)$
 - 2: $\psi_{s+1} \Leftarrow \psi_s \cup \{\bar{r}_j\} \cup \tilde{\psi}_s(\bar{r}_j)$; $\triangleright \psi_{s+1} = \bigwedge r_i$ is true by definition, unless ψ_{s+1} involves $x_i \wedge \bar{x}_i$
 - 3: **if** $\psi_{s+1} \supseteq \{x_i, \bar{x}_i\}$ for some i **then return** φ is unsatisfiable; $\triangleright \varphi_s = \psi_s \wedge \phi_s$
 - 4: $\mathcal{L}^\phi \Leftarrow \mathcal{L}^\phi - \{j\}$; $\mathcal{L}^\psi \Leftarrow \mathcal{L}^\psi \cup \{j\}$;
 - 5: $\phi_{s+1} \Leftarrow \tilde{\phi}_s(\neg r_j) \wedge \phi'_s$; Update $\{C_k\}$ over ϕ_{s+1} ; $\triangleright \phi'_s$ denotes clauses beyond the entire ψ_s effect
 $\triangleright \phi'_s = \bigwedge C_k$ for $k \in \mathcal{C}'_s$, where $\mathcal{C}'_s = \mathcal{C}_s - (\mathcal{C}_s^{\bar{x}_j} \cup \mathcal{C}_s^{x_j})$, and $\mathcal{C}_s^{\bar{x}_j} \cap \mathcal{C}_s^{x_j} = \emptyset$ due to Lemma 16
 - 6: $\text{Scan}(\varphi_{s+1})$; $\triangleright r_i$ verified compatible for $\tilde{s} \leq s$ can be incompatible for $\tilde{s} > s$ due to $\neg r_j$ in ϕ_s
-

3.3 Satisfiability of the Formula φ vs Satisfiability of the Scope $\psi(r_i)$

This section shows that φ is satisfiable iff $\psi(r_i)$ is satisfied for all $i \in \mathcal{L}$, and any $r_i \in \{x_i, \bar{x}_i\}$.

► **Proposition 30** (Nontrivial incompatibility). $\not\models \phi_s(r_j)$ iff $\not\models \psi_s(r_j)$ or $\not\models \phi'_s(r_j)$ for any s .

Proof. Proof is obvious due to $\phi_s(r_j) = \psi_s(r_j) \wedge \phi'_s(r_j)$ by Lemma 23. ◀

► **Note 31** (Assumption). $\not\models \phi_s(r_j)$ is verified *solely* via $\not\models \psi_s(r_j)$ for some s , whether or not $\not\models \phi'_s(r_j)$ is *ignored*, which is sufficient for incompatibility, and easy to check (see Scope L:5).

The following introduces the tools to justify this assumption, which facilitates the φ scan. Assume that **Scan terminates** (L:9), that is, $\psi \wedge \phi$ transforms into $\hat{\psi} \wedge \hat{\phi}$. Let $\phi \leftarrow \hat{\phi}$, thus $\mathcal{L} \leftarrow \mathcal{L}^{\hat{\phi}}$. Therefore, $r_i \models \psi(r_i)$ for all $i \in \mathcal{L}$ and $r_i \in \{x_i, \bar{x}_i\}$. That is, as $r_i = \mathbf{T}$, $\psi(r_i) = \mathbf{T}$.

► **Definition 32.** $\mathcal{L}(\cdot) = \mathcal{L}(\psi(\cdot))$ and $\mathcal{L}'(\cdot) = \mathcal{L}(\phi'(\cdot))$, which denote respective index sets.

► **Lemma 33** (No conjunct exists in beyond the scope). $\mathcal{L}(r_j) \cap \mathcal{L}'(r_j) = \emptyset$ for any $j \in \mathcal{L}$.

Proof. $\phi'(r_j) = \bigwedge C_k$ due to Lemma 23. Let r_i the *conjunct* be in C_k , i.e., $i \in (\mathcal{L}(r_j) \cap \mathcal{L}'(r_j))$. Then, for any $C_k \ni r_i$, $(r_i \odot x_j \odot \bar{x}_u) \searrow (r_i \wedge \bar{x}_j \wedge x_u)$, thus $r_i \notin C_k$. Moreover, for any $C_k \ni \bar{r}_i$, $(\bar{r}_i \odot r_v \odot r_y) \mapsto (r_v \odot r_y)$, thus $\bar{r}_i \notin C_k$. See Definition 11/12. Hence, $i \notin (\mathcal{L}(r_j) \cap \mathcal{L}'(r_j))$. ◀

$\psi(r_i|r_j)$ is called the conditional scope, and $\phi'(r_i|r_j)$ is called conditional beyond the scope, which are defined over $\phi'(r_j)$ for $j \neq i$, that is, constructed by **Scope** ($r_i, \phi'(r_j)$).

► **Lemma 34.** \mathcal{L} is partitioned into $\mathcal{L}(r_j)$, $\mathcal{L}(r_{j_1}|r_j)$, $\mathcal{L}(r_{j_2}|r_{j_1})$, \dots , $\mathcal{L}(r_{j_n}|r_{j_m})$, thus $\phi(r_j)$ is decomposed into disjoint $\psi(r_j)$, $\psi(r_{j_1}|r_j)$, $\psi(r_{j_2}|r_{j_1})$, \dots , $\psi(r_{j_n}|r_{j_m})$.

Proof. **Scope** (r_j, ϕ) partitions \mathcal{L} into $\mathcal{L}(r_j)$ and $\mathcal{L}'(r_j)$ for any $j \in \mathcal{L}$ (see also Lemma 33). Thus, $\phi(r_j)$ is decomposed into *disjoint* $\psi(r_j)$ and $\phi'(r_j)$. Then, **Scope** ($r_{j_1}, \phi'(r_j)$) partitions $\mathcal{L}'(r_j)$ into $\mathcal{L}(r_{j_1}|r_j)$ and $\mathcal{L}'(r_{j_1}|r_j)$ for any $j_1 \in \mathcal{L}'(r_j)$. Thus, $\phi'(r_j)$ is decomposed into *disjoint* $\psi(r_{j_1}|r_j)$ and $\phi'(r_{j_1}|r_j)$. Finally, $\phi'(r_{j_m}|r_{j_1})$ is decomposed into *disjoint* $\psi(r_{j_n}|r_{j_m})$ and $\phi'(r_{j_n}|r_{j_m})$ for any $j_n \in \mathcal{L}'(r_{j_m}|r_{j_1})$ such that $\mathcal{L}'(r_{j_n}|r_{j_m}) = \emptyset$ (see also Note 24). ◀

► **Lemma 35.** $\phi'(r_j)$ is decomposed into disjoint $\psi(r_{j_1}|r_j)$, $\psi(r_{j_2}|r_{j_1})$, \dots , $\psi(r_{j_n}|r_{j_m})$.

Proof. Follows directly from Lemma 34, and from Lemma 23, $\phi(r_j) = \psi(r_j) \wedge \phi'(r_j)$. ◀

► **Lemma 36.** $\phi \supseteq \phi'(r_j) \supseteq \phi'(r_{j_1}|r_j) \supseteq \phi'(r_{j_2}|r_{j_1}) \supseteq \dots \supseteq \phi'(r_{j_m}|r_{j_1})$, when it terminates.

Proof. Follows directly from Lemma 34. Then, some C_k in ϕ collapse to some c_k in $\psi(r_j)$. Thus, the number of C_k in ϕ is greater than or equal to that of C_k in $\phi'(r_j)$, hence $|\mathcal{C}| \geq |\mathcal{C}'|$, where \mathcal{C} is an index set of C_k in ϕ . Also, some C_k in ϕ shrink to some $C_{k'}$ in $\phi'(r_j)$, hence $\forall k' \in \mathcal{C}' \exists k \in \mathcal{C} [C_k \supseteq C_{k'}]$. Thus, $\phi \supseteq \phi'(r_j)$. Likewise, $\phi'(r_j) \supseteq \phi'(r_{j_1}|r_j)$, because $\phi'(r_j)$ is decomposed into $\psi(r_{j_1}|r_j)$ and $\phi'(r_{j_1}|r_j)$. Therefore, $\phi \supseteq \phi'(r_j) \supseteq \phi'(r_{j_1}|r_j) \supseteq \phi'(r_{j_2}|r_{j_1}) \supseteq \dots \supseteq \phi'(r_{j_m}|r_{j_1})$, where $\phi'(r_{j_m}|r_{j_1}) = \phi'(r_{j_m}|r_j, \dots, r_{j_1})$. Note that $\phi'(r_{j_n}|r_{j_m}) = \{\{\}\}$. ◀

► **Lemma 37.** $\psi(r_i) \models \psi(r_i|r_j)$, thus $\psi(r_i) \vdash \psi(r_i|r_j)$, when the scan terminates.

Proof. **Scope** (r_i, ϕ) constructs $\psi(r_i)$ and **Scope** ($r_i, \phi'(r_j)$) constructs $\psi(r_i|r_j)$. $\phi \supseteq \phi'(r_j)$ by Lemma 36. Therefore, $\psi(r_i) \supseteq \psi(r_i|r_j)$, and $\psi(r_i) \models \psi(r_i|r_j)$ (see also Figure 2), where $\psi(r_i) = r_i \wedge r_j \wedge \dots \wedge r_v$ and $\psi(r_i|r_j) = r_i \wedge \dots \wedge r_v$. Then, $r_j \notin \psi(r_i|r_j)$, since $r_j \notin C_k$ for any $C_k \in \phi'(r_j)$ by Lemma 33. It is obvious that if $\psi(r_i) \models \psi(r_i|r_j)$, then $\psi(r_i) \vdash \psi(r_i|r_j)$. ◀

Lemma 37 leads to Lemma 38, because $r_i \models \psi(r_i)$ and $r_i \vdash \psi(r_i)$ by Lemma 23. That is, each and every conditional scope $\psi(r_i|\cdot)$ is entailed and proved, when the scan *terminates*.

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► **Lemma 38.** $\psi(r_i|r_j), \psi(r_i|r_j, r_{j_1}), \dots, \psi(r_i|r_j, r_{j_1}, \dots, r_{j_m})$ holds for every $j \in \mathcal{L}$, and for every $i \in \mathcal{L}'(r_j), i \in \mathcal{L}'(r_{j_1}|r_j), \dots, i \in \mathcal{L}'(r_{j_m}|r_j, r_{j_1}, \dots, r_{j_i})$, when the scan terminates.

Proof. $\phi \supseteq \phi'(r_j) \supseteq \phi'(r_{j_1}|r_j) \supseteq \dots \supseteq \phi'(r_{j_m}|r_{j_1})$ by Lemma 36. Hence, $\psi(r_i) \supseteq \psi(r_i|r_j), \psi(r_i) \supseteq \psi(r_i|r_j, r_{j_1}), \dots, \psi(r_i) \supseteq \psi(r_i|r_j, \dots, r_{j_m})$, and $\psi(r_i) \models \psi(r_i|r_j), \psi(r_i) \models \psi(r_i|r_j, r_{j_1}), \dots, \psi(r_i) \models \psi(r_i|r_j, r_{j_1}, \dots, r_{j_m})$. Note that if $\psi(r_i) \models \psi(r_i|\cdot)$, then $\psi(r_i) \vdash \psi(r_i|\cdot)$. Therefore, $\psi(r_i|r_j), \psi(r_i|r_j, r_{j_1}), \dots, \psi(r_i|r_j, r_{j_1}, \dots, r_{j_m})$ hold, which generalizes Lemma 37. ◀

► **Theorem 39 (Unsatisfiability).** r_j is incompatible due to $\not\models \phi(r_j)$ iff $\not\models \psi_s(r_j)$ for some s .

► **Corollary 40 (Satisfiability).** $\models_\alpha \phi$ iff the scope $\psi(r_i)$ holds for every $i \in \mathcal{L}$ and $r_i \in \{x_i, \bar{x}_i\}$.

Proof. $\psi(r_{j_1}|r_j), \psi(r_{j_2}|r_{j_1}), \dots, \psi(r_{j_n}|r_{j_m})$ defined over $\phi'(r_j)$ are disjoint due to Lemma 35 such that $\psi(r_{j_1}|r_j), \psi(r_{j_2}|r_{j_1}), \dots, \psi(r_{j_n}|r_{j_m})$ hold by Lemma 38 for any $j \in \mathcal{L}, j_1 \in \mathcal{L}'(r_j), j_2 \in \mathcal{L}'(r_{j_1}|r_j), \dots, j_n \in \mathcal{L}'(r_{j_m}|r_{j_1})$, thus $\phi'(r_j)$ is composed of $\psi(\cdot)$ both disjoint and satisfied. Therefore, $\phi'(r_j)$ is *satisfiable*, and unsatisfiability of $\phi'_s(r_j)$ is *ignored* to verify $\not\models \phi_s(r_j)$. Hence, Theorem 39 holds (see Proposition 30 and Note 31). Then, $\psi(r_i) \equiv \phi(r_i)$, since $\phi'(r_i)$ is satisfiable, and $\phi(r_i) = \psi(r_i) \wedge \phi'(r_i)$. Thus, Corollary 40 holds (see also Appendix A). ◀

Theorem 41 shows that any r_j incompatible remains incompatible, even if r_i is removed.

► **Theorem 41.** If $\not\models \varphi_{\tilde{s}}(r_j)$ for some \tilde{s} , then $\not\models \varphi_s(r_j)$ for all $s > \tilde{s}$, even if $\neg r_i$ holds, $i \neq j$.

Proof. See Note 27/28. $\not\models \varphi_s(r_j)$ iff $\not\models (\psi_s \wedge r_j)$ or $\not\models \phi_s(r_j)$. Let $\not\models (\psi_{\tilde{s}} \wedge r_j)$ for some \tilde{s} . Then, $\not\models (\psi_s \wedge r_j)$ for all $s > \tilde{s}$, since $\psi_{\tilde{s}} \subseteq \psi_s$ due to Remove L:2. Let $\not\models \phi_{\tilde{s}}(r_j)$ due to *solely* $x_i \wedge \bar{x}_i$. Then, $\bar{x}_i \vee x_i \Rightarrow \bar{r}_j$, thus $\bar{r}_j \in \psi_s$ for $s > \tilde{s}$. Hence, $\not\models (\psi_s \wedge r_j)$ for all $s > \tilde{s}$. Assume that r_i is removed *before* r_j , that is, $\neg r_i$ holds by $\not\models \varphi_{\tilde{s}}(r_i)$ for $\tilde{s} \leq \tilde{s}$. Then, $\neg r_i \Rightarrow \bar{r}_i$ and $\bar{r}_i \Rightarrow \bar{r}_j$, thus $\{\bar{r}_i, \bar{r}_j\} \subseteq \psi_s$ for $s > \tilde{s}$. Note that $\psi_{\tilde{s}} \subseteq \psi_{\tilde{s}} \subseteq \psi_s$. Hence, $\not\models (\psi_s \wedge r_i \wedge r_j)$ for all $s > \tilde{s}$. If r_i is removed *after* r_j , i.e., $\neg r_i$ holds by $\not\models \varphi_s(r_i)$ for $s > \tilde{s}$, then $\not\models (\psi_s \wedge r_j \wedge r_i)$ for all $s > \tilde{s}$. ◀

► **Proposition 42.** The time complexity of Scan is $O(mn^3)$.

Proof. `OvrLeft`, and `Remove`, takes $4m$ steps by $(|\mathcal{C}_*^{r_j}| \times |C_k|) + |\mathcal{C}_*^{\bar{r}_j}| = 3m + m$. `Scope` takes $n4m$ steps by $|\psi_s(r_j)| \times 4m$. Then, `Scan` takes n^24m steps due to L:1-3 by $|\mathcal{L}^\phi| \times |\psi_s| \times 4m$, as well as $8n^2m + 8nm$ steps due to L:4-8 by $2|\mathcal{L}^\phi| \times (4nm + 4m)$. Also, the number of the scans is $\hat{s} \leq |\mathcal{L}^\phi|$ due to Remove L:6. Therefore, the time complexity of Scan is $O(n^3m)$. ◀

► **Example 43.** $\varphi = \{\{\}, \{x_3, x_4, \bar{x}_5\}, \{x_3, x_6, \bar{x}_7\}, \{x_4, x_6, \bar{x}_7\}\}$, i.e., $\psi = \emptyset$. Let `Scope`(x_3, ϕ) execute *first* in the *first* scan, which leads to the reductions below over ϕ due to x_3 .

$$\begin{aligned} \phi(x_3) &= (x_3 \odot x_4 \odot \bar{x}_5) \wedge (x_3 \odot x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7) \wedge x_3 \\ x_3 &\Rightarrow (x_3 \wedge \bar{x}_4 \wedge x_5) \wedge (x_3 \wedge \bar{x}_6 \wedge x_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7) \wedge x_3 \\ \bar{x}_4 &\Rightarrow (x_3 \wedge \bar{x}_4 \wedge x_5) \wedge (x_3 \wedge \bar{x}_6 \wedge x_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7) \wedge x_3 \\ \bar{x}_6 &\Rightarrow (x_3 \wedge \bar{x}_4 \wedge x_5) \wedge (x_3 \wedge \bar{x}_6 \wedge x_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7) \wedge x_3 \end{aligned}$$

Since $\not\models (\psi(x_3) = x_3 \wedge \bar{x}_4 \wedge x_5 \wedge \bar{x}_6 \wedge x_7 \wedge \bar{x}_7)$, x_3 is incompatible, hence $\neg x_3 \Rightarrow \bar{x}_3$, that is, \bar{x}_3 is necessary. Thus, $\varphi \rightarrow \varphi_2$ by $(x_3 \odot x_4 \odot \bar{x}_5) \rightarrow (x_4 \odot \bar{x}_5)$ and $(x_3 \odot x_6 \odot \bar{x}_7) \rightarrow (x_6 \odot \bar{x}_7)$. As a result, $\varphi_2 = \bar{x}_3 \wedge (x_4 \odot \bar{x}_5) \wedge (x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7)$. Let `Scope`(x_5, ϕ_2) execute next.

$$\begin{aligned} \phi_2(x_5) &= (x_4 \odot \bar{x}_5) \wedge (x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7) \wedge x_5 \\ x_5 &\Rightarrow (x_4 \odot \bar{x}_5) \wedge (x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7) \wedge x_5 \\ x_4 &\Rightarrow (x_4 \odot \bar{x}_5) \wedge (x_6 \odot \bar{x}_7) \wedge (x_4 \wedge \bar{x}_6 \wedge x_7) \wedge x_5 \\ \bar{x}_6 &\Rightarrow (x_4 \odot \bar{x}_5) \wedge (x_6 \odot \bar{x}_7) \wedge (x_4 \wedge \bar{x}_6 \wedge x_7) \wedge x_5 \end{aligned}$$

Since $\not\models (\psi_2(x_5) = x_4 \wedge \bar{x}_7 \wedge \bar{x}_6 \wedge x_7 \wedge \bar{x}_3 \wedge x_5)$, x_5 is incompatible, hence $\neg x_5 \Rightarrow \bar{x}_5$. Thus, $\varphi_2 \rightarrow \varphi_3$ by $(x_4 \odot \bar{x}_5) \rightarrow (\bar{x}_4 \wedge \bar{x}_5)$, where $\varphi_3 = \bar{x}_3 \wedge \bar{x}_4 \wedge \bar{x}_5 \wedge (x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7)$. Then, \bar{x}_4 leads to the next reduction by $(x_4 \odot x_6 \odot \bar{x}_7) \rightarrow (x_6 \odot \bar{x}_7)$, and `Scan`(φ_4) *terminates*. That is, $\hat{\varphi} = \hat{\psi} \wedge \hat{\phi}$, where $\hat{\psi} = \{\bar{x}_3, \bar{x}_4, \bar{x}_5\}$ and $\hat{\phi} = \{(x_6, \bar{x}_7)\}$, since $\varphi_4 = \bar{x}_3 \wedge \bar{x}_4 \wedge \bar{x}_5 \wedge (x_6 \odot \bar{x}_7)$.

In Example 43, if $\text{Scope}(x_5, \phi)$ executes *first*, then $\psi(x_5) = x_5$ becomes the scope, and $\phi'(x_5) = (x_3 \odot x_4) \wedge (x_3 \odot x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7)$ becomes beyond the scope of x_5 over ϕ . Then, x_5 is compatible (in ϕ) due to Theorem 39, since $\psi(x_5)$ holds, while it is incompatible due to Proposition 30, since $\not\equiv \phi'(x_5)$ holds. On the other hand, the fact that $\not\equiv \phi'(x_5)$ holds is verified indirectly. That is, incompatibility of x_5 is checked by means of $\psi_s(x_5)$ for some s . Then, x_5 becomes incompatible (in ϕ_2), because $\not\equiv \psi_2(x_5)$ holds, after $\varphi \rightarrow \varphi_2$ by removing x_3 from ϕ due to $\not\equiv \psi(x_3)$. As a result, $\not\equiv \phi'(x_5)$ holds due to $\neg x_3$. Thus, there exists no r_j such that $\not\equiv \phi'(r_j)$, when the scan *terminates*, because $\psi(r_i)$ holds for all r_i in ϕ , hence $\psi(r_i|r_j)$ holds for all r_i in $\phi'(r_j)$, after each r_j is removed if $\not\equiv \psi_s(r_j)$ (see also Figures 1-4).

3.4 Construction of a satisfying assignment by composing scopes

$\hat{\varphi} = \hat{\psi} \wedge \hat{\phi}$, when $\text{Scan}(\varphi_{\hat{s}})$ terminates. Let $\psi := \hat{\psi}$ and $\phi := \hat{\phi}$, i.e., $\mathcal{L} := \mathcal{L}^{\hat{\phi}}$. Then, $\models_{\alpha} \phi$ holds by Corollary 40, where α is a satisfying assignment, and constructed by Algorithm 5 through any $(i_0, i_1, i_2, \dots, i_m, i_n)$ over \mathcal{L} such that $\alpha = \{\psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \psi(r_{i_2}|r_{i_1}), \dots, \psi(r_{i_n}|r_{i_m})\}$. Thus, φ is decomposed into *disjoint* scopes $\psi, \psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \psi(r_{i_2}|r_{i_1}), \dots, \psi(r_{i_n}|r_{i_m})$ (see Note 28, and Lemma 34). Recall that any scope $\psi(\cdot)$ denotes a minterm by Definition 4/5, and that $\text{Scope}(r_i, \phi)$ constructs $\psi(r_i)$ and $\phi'(r_i)$ to determine a satisfying assignment, unless φ collapses to a *unique* assignment, that is, unless $\hat{\varphi} = \alpha = \hat{\psi}$. See also Appendix A to determine a satisfying assignment without constructing $\psi(r_i|\cdot)$ by $\text{Scope}(r_i, \phi'(\cdot))$.

Algorithm 5

▷ Construction of a satisfying assignment α over ϕ , $\mathcal{L} := \mathcal{L}^{\hat{\phi}}$ and $\phi := \hat{\phi}$

Pick $j \in \mathcal{L}$; ▷ The scope $\psi(r_i)$ and beyond the scope $\phi'(r_i)$ for all $i \in \mathcal{L}$ are available initially
 $\alpha \leftarrow \psi(r_j)$; $\mathcal{L} \leftarrow \mathcal{L} - \mathcal{L}(r_j)$; $\phi \leftarrow \phi'(r_j)$;

repeat

Pick $i \in \mathcal{L}$; **Scope** (r_i, ϕ) ; ▷ It constructs $\psi(r_i|r_j)$ and $\phi'(r_i|r_j)$ with respect to $\phi'(r_j)$
 $\alpha \leftarrow \alpha \cup \psi(r_i)$; ▷ $\psi(r_i) := \psi(r_i|r_j)$, because $\psi(r_i)$ is *unconditional* with respect to ϕ updated
 $\mathcal{L} \leftarrow \mathcal{L} - \mathcal{L}(r_i)$; ▷ $\mathcal{L} \leftarrow \mathcal{L}'(r_i|r_j)$ due to the partition $\{\mathcal{L}(r_j), \mathcal{L}(r_i|r_j), \mathcal{L}'(r_i|r_j)\}$ over \mathcal{L}
 $\phi \leftarrow \phi'(r_i)$; ▷ $\phi'(r_i) := \phi'(r_i|r_j)$, because $\phi'(r_i)$ is *unconditional* with respect to ϕ updated

until $\mathcal{L} = \emptyset$

return α ;

▷ $\psi(r_{i_n}|r_{i_m}) = \psi(r_{i_n}|r_j, r_{i_1}, \dots, r_{i_m})$ (see also Appendix A)

► **Definition 44.** Let $\phi = {}^1\phi \wedge {}^2\phi \wedge \dots \wedge {}^l\phi$ such that ${}^1\phi, {}^2\phi, \dots, {}^l\phi$ are disjoint, or independent formulas. That is, ${}^1\mathcal{L} \cap {}^2\mathcal{L} \cap \dots \cap {}^l\mathcal{L} = \emptyset$.

► **Example 45.** Let ${}^1\phi = (x_1 \odot \bar{x}_2 \odot x_6) \wedge (x_3 \odot x_4 \odot \bar{x}_5) \wedge (x_3 \odot x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7)$, ${}^2\phi = (x_8 \odot x_9 \odot \bar{x}_{10})$, and ${}^3\phi = (x_{11} \odot \bar{x}_{12} \odot x_{13})$ to form $\varphi = {}^1\phi \wedge {}^2\phi \wedge {}^3\phi$ by Definition 44. Then, $\text{Scan}(\varphi_4)$ terminates, that is, φ is satisfiable. Thus, $\hat{\varphi} = \hat{\psi} \wedge \hat{\phi}$, where $\hat{\psi} = \bar{x}_3 \wedge \bar{x}_4 \wedge \bar{x}_5$ and $\hat{\phi} = (x_1 \odot \bar{x}_2 \odot x_6) \wedge (x_6 \odot \bar{x}_7) \wedge {}^2\phi \wedge {}^3\phi$ (see Example 43). Let $\psi := \hat{\psi}$ and $\phi := \hat{\phi}$, i.e., $\mathcal{L} := \mathcal{L}^{\hat{\phi}}$. Hence, $\mathcal{L}^{\psi} = \{3, 4, 5\}$, and $\mathcal{L} = \{1, 2, \dots, 13\} - \mathcal{L}^{\psi}$. Then, a satisfying assignment α is determined by composing $\psi(r_i|r_j)$ constructed over $\phi'(r_j)$. The following shows some of the scopes $\psi(r_i)$ and beyond the scopes $\phi'(r_i)$, constructed over ϕ when the scan terminates.

$$\begin{array}{ll}
\psi(x_1) = x_1 \wedge x_2 \wedge \bar{x}_6 \wedge \bar{x}_7 & \& \phi'(x_1) = {}^2\phi \wedge {}^3\phi \\
\psi(x_2) = x_2 & \& \phi'(x_2) = (x_1 \odot x_6) \wedge (x_6 \odot \bar{x}_7) \wedge {}^2\phi \wedge {}^3\phi \\
\psi(\bar{x}_2) = \bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_6 \wedge \bar{x}_7 & \& \phi'(\bar{x}_2) = {}^2\phi \wedge {}^3\phi \\
\psi(x_6) = \psi(x_7) = \bar{x}_1 \wedge x_2 \wedge x_6 \wedge x_7 & \& \phi'(x_6) = \phi'(x_7) = {}^2\phi \wedge {}^3\phi \\
\psi(\bar{x}_6) = \psi(\bar{x}_7) = \bar{x}_6 \wedge \bar{x}_7 & \& \phi'(\bar{x}_6) = \phi'(\bar{x}_7) = (x_1 \odot \bar{x}_2) \wedge {}^2\phi \wedge {}^3\phi \\
\psi(x_8) = x_8 \wedge \bar{x}_9 \wedge x_{10} & \& \phi'(x_8) = (x_1 \odot \bar{x}_2 \odot x_6) \wedge (x_6 \odot \bar{x}_7) \wedge {}^3\phi \\
\psi(x_{11}) = x_{11} \wedge x_{12} \wedge \bar{x}_{13} & \& \phi'(x_{11}) = (x_1 \odot \bar{x}_2 \odot x_6) \wedge (x_6 \odot \bar{x}_7) \wedge {}^2\phi
\end{array}$$

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► **Example 46.** A satisfying assignment α is constructed by an order of indices over \mathcal{L} , $\mathcal{L} = \{1, \dots, 13\} - \mathcal{L}^\psi$ (Example 45), such that $r_i := x_i$ for any $\psi(r_i)$ throughout the construction. First, pick $6 \in \mathcal{L}$. As a result, $\alpha \leftarrow \psi(x_6)$ and $\mathcal{L} \leftarrow \mathcal{L} - \mathcal{L}(x_6)$, where $\psi(x_6) = \{\bar{x}_1, x_2, x_6, x_7\}$, $\mathcal{L}(x_6) = \{1, 2, 6, 7\}$, and $\mathcal{L} \leftarrow \{8, 9, 10, 11, 12, 13\}$. Then, pick 8, hence $\alpha \leftarrow \alpha \cup \psi(x_8|x_6)$, where $\psi(x_8|x_6) = \{x_8, \bar{x}_9, x_{10}\}$. Also, $\mathcal{L} \leftarrow \mathcal{L} - \mathcal{L}(x_8|x_6)$, where $\mathcal{L}(x_8|x_6) = \{8, 9, 10\}$, hence $\mathcal{L} \leftarrow \{11, 12, 13\}$. Finally, pick 11. Therefore, $\alpha \leftarrow \alpha \cup \psi(x_{11}|x_6, x_8)$ such that $\mathcal{L} \leftarrow \emptyset$, which indicates its termination. Note that $\text{Scope}(x_{11}, \phi'(x_8|x_6))$ constructs $\psi(x_{11}|x_6, x_8)$, in which $\phi'(x_8|x_6) = {}^3\phi$, and that $\mathcal{L}'(x_{11}|x_6, x_8) = \emptyset$ iff $\mathcal{L} \leftarrow \emptyset$. Note also that $\psi(x_8|x_6) = \psi(x_8)$ and $\psi(x_{11}|x_6, x_8) = \psi(x_{11})$, since ${}^1\phi$, ${}^2\phi$ and ${}^3\phi$ are disjoint by Definition 44. Consequently, Algorithm 5 constructs $\alpha = \{\psi(x_6), \psi(x_8|x_6), \psi(x_{11}|x_6, x_8)\}$. Note that φ is *decomposed* into ψ , $\psi(x_6)$, $\psi(x_8|x_6)$, and $\psi(x_{11}|x_6, x_8)$, which are *disjoint* (see also Note 29 and Lemma 34).

► **Example 47.** Let $(2, 1, 8, 11)$ be another order of indices in Example 45. This order leads to the assignment $\{\psi, \psi(x_2), \psi(x_1|x_2), \psi(x_8|x_2, x_1), \psi(x_{11}|x_2, x_1, x_8)\}$ for φ . This assignment corresponds to the partition $\{\mathcal{L}^\psi, \{2\}, \{1, 6, 7\}, \{8, 9, 10\}, \{11, 12, 13\}\}$, where $\mathcal{L}^\psi = \{3, 4, 5\}$ (see also Note 28 and Lemma 34). Note that the scope $\psi(x_1)$ is constructed over ϕ , and the conditional scope $\psi(x_1|x_2)$ is constructed over $\phi'(x_2)$, where $\phi \supseteq \phi'(x_2)$. Recall that $\phi := \hat{\phi}$. Hence, $\psi(x_1) \models \psi(x_1|x_2)$, in which $\psi(x_1) = x_1 \wedge x_2 \wedge \bar{x}_6 \wedge \bar{x}_7$, while $\psi(x_1|x_2) = x_1 \wedge \bar{x}_6 \wedge \bar{x}_7$. Moreover, $\psi(x_8) \models \psi(x_8|x_2, x_1)$ due to $\phi \supseteq \phi'(x_1|x_2)$, and $\psi(x_{11}) \models \psi(x_{11}|x_2, x_1, x_8)$ due to $\phi \supseteq \phi'(x_8|x_2, x_1)$, where $\phi'(x_1|x_2) = {}^2\phi \wedge {}^3\phi$ and $\phi'(x_8|x_2, x_1) = {}^3\phi$ (see Lemmas 36-38).

3.5 An Illustrative Example

This section illustrates $\text{Scan}(\varphi_s)$. Let $\varphi = \phi = (x_1 \odot \bar{x}_3) \wedge (x_1 \odot \bar{x}_2 \odot x_3) \wedge (x_2 \odot \bar{x}_3)$, which is adapted from Esparza [2], and denotes a general formula by Definition 15. Note that $C_1 = \{x_1, \bar{x}_3\}$, $C_2 = \{x_1, \bar{x}_2, x_3\}$, and $C_3 = \{x_2, \bar{x}_3\}$. Hence, $\mathcal{C} = \{1, 2, 3\}$, and $\mathcal{L} = \mathcal{L}^\phi = \{1, 2, 3\}$.

$\text{Scan}(\varphi)$: There exists no conjunct in (the initial formula) φ . That is, ψ is empty (L:1). Recall that $\varphi := \varphi_1$, and that $r_i \in \{x_i, \bar{x}_i\}$. Recall also that *nontrivial* incompatibility of r_i is checked (L:4-8) via $\text{Scope}(r_i, \phi)$. Moreover, the order of incompatibility check is arbitrary (incompatibility is monotonic) by Theorem 41. Let $\text{Scope}(x_1, \phi)$ execute due to Scan L:6 .

$\text{Scope}(x_1, \phi)$: Since $\psi(x_1) \supseteq \{x_3, \bar{x}_3\}$, x_1 is incompatible *nontrivially* (see Example 25). Thus, \bar{x}_1 becomes necessary (a conjunct). Then, $\text{Remove}(x_1, \phi)$ executes due to Scan L:6 .

$\text{Remove}(x_1, \phi)$: $\mathcal{C}^{\bar{x}_1} = \emptyset$ by OvrLeft L:1 . $\mathcal{C}^{x_1} = \{1, 2\}$, thus $\phi^{x_1} = (x_1 \odot \bar{x}_3) \wedge (x_1 \odot \bar{x}_2 \odot x_3)$ by OvrLeft L:7 . As a result, $\tilde{\psi}(\bar{x}_1) = \{\bar{x}_3\}$ & $\tilde{\phi}(\neg x_1) = \{\{\}, \{\bar{x}_2, x_3\}\}$, the effects of \bar{x}_1 and $\neg x_1$. Note that $C_1 \leftarrow \emptyset$. Then, $\psi_2 \leftarrow \psi \cup \{\bar{x}_1\} \cup \tilde{\psi}(\bar{x}_1)$ (Remove L:2), and $\mathcal{L}^\phi \leftarrow \mathcal{L}^\phi - \{1\}$ and $\mathcal{L}^\psi \leftarrow \mathcal{L}^\psi \cup \{1\}$ (L:4). Also, $\phi_2 \leftarrow \tilde{\phi}(\neg x_1) \wedge \phi'$, where $\tilde{\phi}(\neg x_1) = (\bar{x}_2 \odot x_3)$ and $\phi' = (x_2 \odot \bar{x}_3)$ (L:5). As a result, $\psi_2 = \bar{x}_1 \wedge \bar{x}_3$, and $\phi_2 = (\bar{x}_2 \odot x_3) \wedge (x_2 \odot \bar{x}_3)$. Note that $C_1 = \{\bar{x}_2, x_3\}$ and $C_2 = \{x_2, \bar{x}_3\}$. Consequently, $\varphi_2 = \psi_2 \wedge \phi_2$, and $\text{Scan}(\varphi_2)$ executes due to Remove L:6 .

$\text{Scan}(\varphi_2)$: $\mathcal{C}_2 = \{1, 2\}$ and $\mathcal{L}^\phi = \{2, 3\}$ hold in ϕ_2 . Then, $\{x_2, \bar{x}_2\} \cap \psi_2 = \emptyset$ for $2 \in \mathcal{L}^\phi$, while $\bar{x}_3 \in \psi_2$ for $3 \in \mathcal{L}^\phi$ (L:1). As a result, \bar{x}_3 is *necessary* for satisfying φ_2 , hence $\bar{x}_3 \Rightarrow \neg x_3$, that is, x_3 is incompatible *trivially*. Then, $\text{Remove}(x_3, \phi_2)$ executes due to Scan L:2 .

$\text{Remove}(x_3, \phi_2)$: $\mathcal{C}_2^{\bar{x}_3} = \{2\}$, thus $\phi_2^{\bar{x}_3} = (x_2 \odot \bar{x}_3)$, and $\mathcal{C}_2^{x_3} = \{1\}$, thus $\phi_2^{x_3} = (\bar{x}_2 \odot x_3)$. As a result, $\tilde{\psi}_2(\bar{x}_3) = \{\bar{x}_2\} \cup \{\bar{x}_2\}$ & $\tilde{\phi}_2(\neg x_3) = \{\{\}\}$, because $C_1 = \{\bar{x}_2\}$ consists in $\tilde{\psi}_2(\bar{x}_3)$, rather than in $\tilde{\phi}_2(\neg x_3)$ (see OvrLeft L:9). Hence, $\psi_3 \leftarrow \psi_2 \cup \{\bar{x}_3\} \cup \tilde{\psi}_2(\bar{x}_3)$, $\mathcal{L}^\phi \leftarrow \mathcal{L}^\phi - \{3\}$, and $\mathcal{L}^\psi \leftarrow \mathcal{L}^\psi \cup \{3\}$, i.e., $\mathcal{L}^\phi = \{2\}$. Therefore, $\phi_3 = \{\{\}\}$, thus $\mathcal{C}_3 = \emptyset$, and $\psi_3 = \bar{x}_1 \wedge \bar{x}_3 \wedge \bar{x}_2$.

$\text{Scan}(\varphi_3)$: $\bar{x}_2 \in \psi_3$ for $2 \in \mathcal{L}^\phi$ over ϕ_3 . Then, $\text{Remove}(x_2, \phi_3)$ executes due to Scan L:2 .

$\text{Remove}(x_2, \phi_3)$: $\tilde{\psi}_3(\bar{x}_2) = \emptyset$ & $\tilde{\phi}_3(\neg x_2) = \{\{\}\}$ due to $\text{OvrLeft}(\bar{x}_2, \phi_3)$, because $\mathcal{C}_3^{\bar{x}_2} = \emptyset$ and $\mathcal{C}_3^{x_2} = \emptyset$, since $\mathcal{C}_3 = \emptyset$. Hence, $\mathcal{L}^\phi \leftarrow \{2\} - \{2\}$ and $\phi_4 \leftarrow \phi_3$. Then, $\text{Scan}(\varphi_4)$ executes.

$\text{Scan}(\varphi_4)$ *terminates*: $\hat{\varphi} = \hat{\psi} = \bar{x}_1 \wedge \bar{x}_3 \wedge \bar{x}_2$ (L:9), and φ collapses to a unique assignment.

Let $\text{Scope}(x_3, \phi)$ execute *before* $\text{Scope}(x_1, \phi)$ due to **Scan L:6** (see Theorem 41).

$\text{Scope}(x_3, \phi)$: $\psi(x_3) \leftarrow \{x_3\}$ and $\phi_* \leftarrow \phi$ (L:1). Then, $\mathfrak{C}_*^{x_3} = \{2\}$ due to $\text{OvrLEft}(x_3, \phi_*)$ L:1, hence $\phi_*^{x_3} = (x_1 \odot \bar{x}_2 \odot x_3)$. As a result, $c_2 \leftarrow \{\bar{x}_1, x_2\}$ and $\tilde{\psi}_*(x_3) \leftarrow \tilde{\psi}_*(x_3) \cup c_2$ (L:3,5). Moreover, $\mathfrak{C}_*^{\bar{x}_3} = \{1, 3\}$ (L:7), hence $\phi_*^{\bar{x}_3} = (x_1 \odot \bar{x}_3) \wedge (x_2 \odot \bar{x}_3)$. Then, $C_1 \leftarrow \{x_1, \bar{x}_3\} - \{\bar{x}_3\}$, $\tilde{\psi}_*(x_3) \leftarrow \tilde{\psi}_*(x_3) \cup C_1$, and $C_1 \leftarrow \emptyset$. Likewise, $C_3 \leftarrow \{x_2, \bar{x}_3\} - \{\bar{x}_3\}$, $\tilde{\psi}_*(x_3) \leftarrow \tilde{\psi}_*(x_3) \cup C_3$, and $C_3 \leftarrow \emptyset$ (OvrLEft L:8-9). Consequently, $\tilde{\psi}_*(x_3) \leftarrow \{\bar{x}_1, x_2, x_1\}$ & $\tilde{\phi}_*(\neg x_3) \leftarrow \phi_*^{\bar{x}_3}$ (L:11). Note that $\phi_*^{\bar{x}_3} = \{\{\}, \{\}\}$, since $C_1 = C_3 = \emptyset$. Then, $\psi(x_3) \leftarrow \psi(x_3) \cup \{x_3\} \cup \tilde{\psi}_*(x_3)$ due to **Scope L:4**, hence $\psi(x_3) = \{x_3, \bar{x}_1, x_2, x_1\}$. Since $\psi(x_3) \supseteq \{\bar{x}_1, x_1\}$ (L:5), x_3 is incompatible *nontrivially*, i.e., $x_3 \Rightarrow \bar{x}_1 \wedge x_1$ and $\neg x_3 \Rightarrow \bar{x}_3$. Then, **Remove** (x_3, ϕ) executes due to **Scan L:6**.

Remove (x_3, ϕ) : $\phi^{\bar{x}_3} = (x_1 \odot \bar{x}_3) \wedge (x_2 \odot \bar{x}_3)$ due to $\mathfrak{C}^{\bar{x}_3} = \{1, 3\}$, and $\phi^{x_3} = (x_1 \odot \bar{x}_2 \odot x_3)$ due to $\mathfrak{C}^{x_3} = \{2\}$. Then, **OvrLEft** (\bar{x}_3, ϕ) returns $\tilde{\psi}(\bar{x}_3) = \{\bar{x}_1, \bar{x}_2\}$ & $\tilde{\phi}(\neg x_3) = \{\{x_1, \bar{x}_2\}\}$ (**Remove L:1**), $\psi_2 \leftarrow \psi \cup \{\bar{x}_3\} \cup \tilde{\psi}(\bar{x}_3)$ (L:2), and $\mathfrak{L}^\phi \leftarrow \mathfrak{L}^\phi - \{3\}$ and $\mathfrak{L}^\psi \leftarrow \mathfrak{L}^\psi \cup \{3\}$ (L:4). As a result, $\psi_2 = \bar{x}_3 \wedge \bar{x}_1 \wedge \bar{x}_2$. Moreover, $\phi_2 \leftarrow \tilde{\phi}(\neg x_3) \wedge \phi'$ (L:5), in which $\tilde{\phi}(\neg x_3) = (x_1 \odot \bar{x}_2)$ and ϕ' is empty. Therefore, $\varphi_2 = \psi_2 \wedge \phi_2$. Note that $C_1 = \{x_1, \bar{x}_2\}$, hence $\mathfrak{C}_2 = \{1\}$. Recall that $\mathfrak{L}^\phi = \{1, 2\}$, and that $\mathfrak{L}^\psi = \{3\}$. Then, **Scan** (φ_2) executes due to **Remove** (x_3, ϕ) L:6.

Scan (φ_2) : $\mathfrak{L}^\phi = \{1, 2\}$ such that $\bar{x}_2 \in \psi_2$ and $\bar{x}_1 \in \psi_2$. Thus, \bar{x}_2 and \bar{x}_1 are *necessary*, hence x_2 and x_1 are incompatible *trivially*. Then, **Remove** (x_1, ϕ_2) and **Remove** (x_2, ϕ_2) execute.

The fact that the order of incompatibility check is arbitrary (Theorem 41) is illustrated as follows. **Scope** (x_3, ϕ) returns x_3 is incompatible *nontrivially*, since $x_3 \Rightarrow \bar{x}_1 \wedge x_1$. Therefore, $\neg \bar{x}_1 \vee \neg x_1 \Rightarrow \neg x_3$, hence $x_1 \vee \bar{x}_1 \Rightarrow \bar{x}_3$. Then, $\bar{x}_3 \Rightarrow \bar{x}_1$ due to $C_1 = (x_1 \odot \bar{x}_3)$, and $\bar{x}_1 \Rightarrow \neg x_1$. Thus, x_1 is *still* incompatible, but *trivially* (cf. **Scope** (x_1, ϕ)), even if $\neg x_3$ holds. That is, x_1 the *nontrivial* incompatible in ϕ due to $x_1 \Rightarrow \bar{x}_3 \wedge x_3$, i.e., $\neg \bar{x}_3 \vee \neg x_3 \Rightarrow \neg x_1$, is incompatible *trivially* in ψ_2 due to $\bar{x}_1 \Rightarrow \neg x_1$. See **Scan** (φ_2) above. Also, since $x_3 \notin C_k$ and $\bar{x}_3 \notin C_k$ in ϕ_s for any $s \geq 2$, $\neq \varphi_s(x_3)$ for all $s \geq 2$, even if any r_i is removed from some C_k in ϕ_s , $s \geq 2$.

4 Conclusion

X3SAT has proved to be effective to show $\mathbf{P} = \mathbf{NP}$. A polynomial time algorithm checks unsatisfiability of $\phi(r_i)$ such that $\neq \phi(r_i)$ iff $\psi_s(r_i)$ involves $x_j \wedge \bar{x}_j$ for some s . Thus, $\phi(r_i)$ reduces to $\psi(r_i)$. $\psi(r_i)$ denotes a conjunction of literals that are *true*, since each r_j such that $\neq \psi_s(r_j)$ is removed from ϕ . Hence, ϕ is satisfiable iff $\psi(r_i)$ is satisfied for any $r_i \in \{x_i, \bar{x}_i\}$. Thus, it is *easy* to verify satisfiability of ϕ via satisfiability of $\psi(x_1), \psi(\bar{x}_1), \dots, \psi(x_n), \psi(\bar{x}_n)$.

References

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A Proof of Theorem 39/40

This section gives a rigorous proof of Theorem 39/40. Recall that the φ_s scan is *interrupted* iff ψ_s involves $x_i \wedge \bar{x}_i$ for some i and s , that is, φ is unsatisfiable, which is trivial to verify. Recall also that the φ_s scan *terminates* iff $\psi_s(r_i) = \mathbf{T}$ for any $i \in \mathfrak{L}^\phi$, $r_i \in \{x_i, \bar{x}_i\}$. Moreover, $\hat{\varphi} = \hat{\psi} \wedge \hat{\phi}$ such that $\hat{\psi} = \mathbf{T}$ (see **Scan L:9** and Note 29). Therefore, when the scan terminates, satisfiability of $\hat{\phi}$ is to be proved, which is addressed in this section. Let $\phi := \hat{\phi}$, i.e., $\mathfrak{L} := \mathfrak{L}^\phi$.

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► **Theorem 48** (cf. 39-40/Claim 1). *These statements are equivalent for any $i \in \mathfrak{L}$: a) $\not\models \phi(r_i)$ iff $\not\models \psi_s(r_i)$ for some s . b) $r_i \models \psi(r_i)$. c) $\models_\alpha \phi$ by $\alpha = \{\psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \dots, \psi(r_{i_n}|r_{i_m})\}$.*

Proof. We will show $a \Rightarrow b$, $b \Rightarrow c$, and $c \Rightarrow a$ (see Kenneth H. Rosen, Discrete Mathematics and its Applications, 7E, pg. 88). Firstly, $a \Rightarrow b$ holds, because a holds by assumption (see Note 31), and b holds by Lemma 23. Next, we will show $b \Rightarrow c$. We do this by showing that satisfiability of ϕ is *preserved* throughout the assignment α construction, where $\alpha = \{\psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \dots, \psi(r_{i_n}|r_{i_m})\}$, because any *partial* assignment $\psi(r_i|r_j)$ is constructed *arbitrarily* through consecutive steps having the Markov property. Thus, construction of $\psi(r_i|r_j)$ in the next step is independent from the preceding steps, and depends only upon $\psi(r_j|r_k)$ in the present step (see also Lemma 34). The construction process is specified below.

Step 0: Pick any r_{i_0} in ϕ . Then, $r_{i_0} \models \psi(r_{i_0})$ by Lemma 23. Also, r_{i_0} partitions \mathfrak{L} into $\mathfrak{L}(r_{i_0})$ and $\mathfrak{L}'(r_{i_0})$. Note that $i_0 \in \mathfrak{L}$ and $i_0 \in \mathfrak{L}(r_{i_0})$. Hence, $i_0 \notin \mathfrak{L}'(r_{i_0})$ by Lemma 33. Therefore, $\phi(r_{i_0}) = \psi(r_{i_0}) \wedge \phi'(r_{i_0})$ in Step 0. Then, pick an *arbitrary* r_{i_1} in $\phi'(r_{i_0})$ for Step 1.

Step 1: $\mathfrak{L}(r_{i_0}) \cap \mathfrak{L}'(r_{i_0}) = \emptyset$ due to Step 0. Then, $r_{i_1} \models \psi(r_{i_1})$ by Lemma 23, as well as $\psi(r_{i_1}) \models \psi(r_{i_1}|r_{i_0})$ by Lemma 37. Also, r_{i_1} partitions $\mathfrak{L}'(r_{i_0})$ into $\mathfrak{L}(r_{i_1}|r_{i_0})$ and $\mathfrak{L}'(r_{i_1}|r_{i_0})$. Thus, $\mathfrak{L}(r_{i_0}) \cap \mathfrak{L}(r_{i_1}|r_{i_0}) = \emptyset$, since $\mathfrak{L}'(r_{i_0}) \supseteq \mathfrak{L}(r_{i_1}|r_{i_0})$. As a result, \mathfrak{L} is partitioned into $\mathfrak{L}(r_{i_0})$, $\mathfrak{L}(r_{i_1}|r_{i_0})$, and $\mathfrak{L}'(r_{i_1}|r_{i_0})$ by r_{i_0} and r_{i_1} . Thus, $\psi(r_{i_0})$ and $\psi(r_{i_1}|r_{i_0})$ are *disjoint*, as well as *true*. Therefore, $\psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0}) = \mathbf{T}$, and $\phi(r_{i_0}, r_{i_1}) = \psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0}) \wedge \phi'(r_{i_1}|r_{i_0})$.

Step 2: The preceding steps have partitioned \mathfrak{L} into $\mathfrak{L}(r_{i_0}) \cup \mathfrak{L}(r_{i_1}|r_{i_0})$ and $\mathfrak{L}'(r_{i_1}|r_{i_0})$. Then, $r_{i_2} \models \psi(r_{i_2})$ by Lemma 23, as well as $\psi(r_{i_2}) \models \psi(r_{i_2}|r_{i_1})$ by Lemma 37/38. Also, r_{i_2} in $\phi'(r_{i_1}|r_{i_0})$ partitions $\mathfrak{L}'(r_{i_1}|r_{i_0})$ into $\mathfrak{L}(r_{i_2}|r_{i_1})$ and $\mathfrak{L}'(r_{i_2}|r_{i_1})$, i.e., $\mathfrak{L}'(r_{i_1}|r_{i_0}) \supseteq \mathfrak{L}(r_{i_2}|r_{i_1})$. Then, $(\mathfrak{L}(r_{i_0}) \cup \mathfrak{L}(r_{i_1}|r_{i_0})) \cap \mathfrak{L}(r_{i_2}|r_{i_1}) = \emptyset$, thus $\psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0})$ and $\psi(r_{i_2}|r_{i_1})$ are *disjoint*, as well as *true*. Therefore, $\phi(r_{i_0}, r_{i_1}, r_{i_2}) = \psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0}) \wedge \psi(r_{i_2}|r_{i_1}) \wedge \phi'(r_{i_2}|r_{i_1})$, in which $\psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0}) \wedge \psi(r_{i_2}|r_{i_1}) = \mathbf{T}$. Note that $\alpha \supseteq \{\psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \psi(r_{i_2}|r_{i_1})\}$, and that \mathfrak{L} is partitioned into $\mathfrak{L}(r_{i_0})$, $\mathfrak{L}(r_{i_1}|r_{i_0})$, $\mathfrak{L}(r_{i_2}|r_{i_1})$, and $\mathfrak{L}'(r_{i_2}|r_{i_1})$ such that $\mathfrak{L}'(r_{i_2}|r_{i_1}) \neq \emptyset$.

Step n : r_{i_n} partitions $\mathfrak{L}'(r_{i_{n-1}}|r_{i_{n-2}})$ into $\mathfrak{L}(r_{i_n}|r_{i_{n-1}})$ and $\mathfrak{L}'(r_{i_n}|r_{i_{n-1}})$ such that $\mathfrak{L}'(r_{i_n}|r_{i_{n-1}}) = \emptyset$. $\mathfrak{L}(r_{i_0}) \cup \mathfrak{L}(r_{i_1}|r_{i_0}) \cup \dots \cup \mathfrak{L}(r_{i_m}|r_{i_i})$ and $\mathfrak{L}'(r_{i_m}|r_{i_i})$, hence $\mathfrak{L}(r_{i_n}|r_{i_m})$, form a partition of \mathfrak{L} . Therefore, $\psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0}) \wedge \dots \wedge \psi(r_{i_m}|r_{i_i})$ and $\psi(r_{i_n}|r_{i_m})$ are *disjoint*, as well as *true*. That is, $\phi(r_{i_0}, r_{i_1}, \dots, r_{i_m}, r_{i_n}) = \psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0}) \wedge \dots \wedge \psi(r_{i_m}|r_{i_i}) \wedge \psi(r_{i_n}|r_{i_m})$ is satisfied.

Thus, ϕ is composed of $\psi(\cdot)$ *disjoint* and *satisfied*, hence ϕ is satisfiable, and $b \Rightarrow c$ holds. Finally, we show $c \Rightarrow a$. r_i transforms ϕ into $\psi(r_i) \wedge \phi'(r_i)$. Then, $\phi \equiv \psi(r_i) \wedge \phi'(r_i)$, where ϕ and $\psi(r_i)$ are *satisfiable*, and $\psi(r_i)$ and $\phi'(r_i)$ are *disjoint*. Thus, $\phi'(r_i)$ is satisfiable. Hence, unsatisfiability of $\psi_s(r_i)$ for some s is necessary and sufficient for $\not\models \phi_s(r_i)$ for any s . ◀

► **Note.** The assignment α construction is driven by partitioning the set $\mathfrak{L}'(\cdot)$ such that $\mathfrak{L} \leftarrow \mathfrak{L} - \mathfrak{L}(r_{i_0})$ in Step 1, and $\mathfrak{L} \leftarrow \mathfrak{L} - \mathfrak{L}(r_{i_{n-1}}|r_{i_{n-2}})$ for $i_n \in \mathfrak{L}'(r_{i_{n-1}}|r_{i_{n-2}})$ in Step $n \geq 2$.

► **Note.** $\psi(r_i) \equiv \phi(r_i)$ by Theorem 48. Thus, the formula $\phi = \bigwedge_{k \in \mathfrak{C}} C_k$ transforms into the formula $\phi' = \bigwedge_{i \in \mathfrak{L}} \mathcal{C}_i$, where $C_k = (r_i \odot r_j \odot r_v)$ and $\mathcal{C}_i = (\psi(r_i) \oplus \psi(\bar{x}_i))$. See also Note 29.

► **Note (Construction of α).** In order to form a partition over the set ϕ , α is constructed such that $\psi(r_{i_1}|r_{i_0}) = \psi(r_{i_1}) - \psi(r_{i_0})$, and $\psi(r_{i_n}|r_{i_{n-1}}) = \psi(r_n) - (\psi(r_{i_0}) \cup \dots \cup \psi(r_{i_{n-1}}|r_{i_{n-2}}))$ for $n \geq 2$. On the other hand, if the construction involves no set partition, then $\alpha = \bigcup \psi(r_i)$ for $i = (i_0, i_1, \dots, i_n)$, where $i_0 \in \mathfrak{L}$, $i_1 \in \mathfrak{L}'(r_{i_0}), \dots, i_n \in \mathfrak{L}'(r_{i_m}|r_{i_i})$, thus $r_{i_0} \prec r_{i_1} \prec \dots \prec r_{i_n}$. Note that there is no need to construct $\phi'(r_i)$ in **Scan/Scope** L:9 (cf. Algorithm 5).

For instance, if Example 45 involves no set partition, then $\alpha = \{\psi(\bar{x}_7), \psi(x_2), \psi(x_1)\}$, in which $\psi(\bar{x}_7) = \{\bar{x}_7, \bar{x}_6\}$, $\psi(x_2) = \{x_2\}$, and $\psi(x_1) = \{x_1, x_2, \bar{x}_7, \bar{x}_6\}$. Also, $\bar{x}_7 \prec x_2 \prec x_1$ due to $x_2 \in \phi'(\bar{x}_7)$ and $x_1 \in \phi'(x_2|\bar{x}_7)$. Moreover, $\psi(\bar{x}_7)$, $\psi(x_2|\bar{x}_7)$, and $\psi(x_1|x_2)$ form a partition over the set ϕ , where $\psi(x_2|\bar{x}_7) = \psi(x_2) - \psi(\bar{x}_7)$ and $\psi(x_1|x_2) = \psi(x_1) - (\psi(x_2|\bar{x}_7) \cup \psi(\bar{x}_7))$. As a result, $\alpha = \phi(\bar{x}_7, x_2, x_1) = \{\bar{x}_7, \bar{x}_6\} \cup \{x_2\} \cup \{x_1\}$ such that $\{\bar{x}_7, \bar{x}_6\} \cap \{x_2\} \cap \{x_1\} = \emptyset$.